

UNITED STATES BANKRUPTCY COURT  
EASTERN DISTRICT OF NORTH CAROLINA  
RALEIGH DIVISION

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IN RE: CASTLETON GROUP, INC.	)	No. 07-02896-5-RDD
	)	
IN RE: CASTLETON AFFILIATES, LLC	)	No. 07-02894-5-RDD
	)	
IN RE: CASTLETON ASSOCIATES, LLC	)	No. 07-02895-5-RDD
	)	(Jointly Administered)
	)	
Debtors	)	CHAPTER 7

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**THIRTY-EIGHTH REPORT AND FEE NOTICE OF COSTS AND EXPENSES  
OF THE SUCCESSOR TRUSTEE AND NAMED PLAN FIDUCIARY OF THE  
CASTLETON GROUP INC. 401(K) PLAN**

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Pursuant to the Order of the Court dated October 2, 2008, the Successor 401(K) Plan Trustee and Named Plan Fiduciary of the Castleton Group Inc. 401(K) Plan (the "Plan") submits this Thirty-Eighth Report and Fee Notice for fees and expenses for the period of August 1, 2012 – September 30, 2012.

**ACTIVITY REPORT**

Since December 1, 2011, the Successor 401K Plan Trustee has worked to distribute and terminate the 401K Plan.

All terminated employees who did not return their distribution request forms as of January 13<sup>th</sup> are being treated as "missing participants" as set forth in U.S. Department of Labor Field Assistance Bulletin 2004-02. On or about January 26, 2012, Transamerica transferred those participants' funds to an account established in the name of the Plan. This account will hold the plan funds of these participants while the Successor 401K Plan Trustee conducts a search for these terminated participants. Once this transfer took place, the Successor Trustee began working on Employer-plan to plan transfers and plan terminations. All plan to successor plan transfers have now been made for those employers who responded.

Approximately \$422,955 remains to be distributed through plan to successor plan transactions. These remaining funds relate to employers who, after several attempts, have failed to submit required documentation. As permitted under the IRS Compliance Statement issued to the 401K Plan, these funds will be distributed through termination distributions. All distributions will be completed before December 31, 2012.

A request was filed for additional benefits by a former employee of a participating employer. This request was denied on the basis of the Plan Document by the Successor 401K Plan Trustee. The former employee exercised appeal rights to submit additional information and the appeal was submitted to the Successor 401K Trustee. The earlier determination was affirmed by the Successor 401K Plan Trustee.

### ACCOUNTINGS REGARDING THE 401(K) PLAN

As noted in the Order of October 2, 2008, before causing the Plan to pay compensation, fees or expenses, the Successor Trustee is to provide written notice (the "Fee Notice") of such compensation, fees or expenses, by filing the Fee Notice with the Court, and by serving a copy to the U.S. Department of Labor, Employee Benefits Security Administration, Atlanta Regional Office, Atlanta, Georgia, and to all of the Participating Employers in the Plan. The Successor Trustee submits her Fee Notice and Activity Report on a monthly basis. If, within fifteen (15) days after filing of a Fee Notice, no objection to the Fee Notice or payment by the Plan of the compensation, fees or expenses described therein is filed with the Court, such compensation, fees or expenses shall be deemed reasonable expenses of the Plan and shall be paid by the Plan without further action or approval of the Court. These fees and expenses will be paid from the Plan's expense reserve created by the Court's October 31, 2011 Order, rather than from accounts held at Transamerica.

In an effort to reduce costs, copies of this Thirty-Eighth Fee Notice and Report are being posted to RMI's website and post cards are being mailed to notify the 47 participating employers of the filing and its being available on the RMI website.

Attached hereto as **Exhibit 1** is a schedule of the hourly rates for the Successor Trustee and the hourly rates of any staff of Receivership Management, Inc. that might be used to carry out the terms of the Court's Order of October 2, 2008.

Attached hereto as **Collective Exhibit 2** are expense summaries for the period of August 1, 2012 to September 30, 2012.

Total expenses, as listed on Exhibit 2 include \$1,095.00 in Successor Trustee fees, \$100.00 in legal expenses and \$2,298.00 in contract labor expenses (which include auditor fees and identified charges for other fees, postage, copies, telephone, travel, etc.) for the period of August 1, 2012 – September 30, 2012.

In anticipation of this filing, a draft of this Fee Notice and the invoices were shared with Mr. Chris Graebe, Counsel for certain Plan Participating Employers.

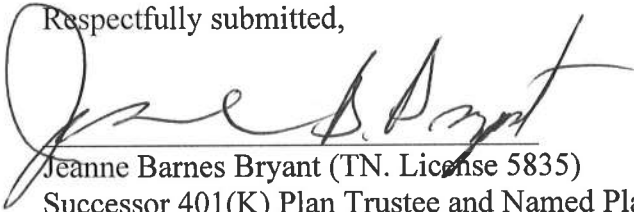
In the absence of any objection, total reimbursement for \$1,095.00 in Successor Trustee fees, \$100.00 in legal expenses and \$2,298.00 in contract labor expenses will be paid from the Plan's expense reserve in accordance with the Court's October 2, 2008 Order. The exact amounts and the parties to be paid are listed below:

- |    |                              |            |
|----|------------------------------|------------|
| 1. | Jeanne Barnes Bryant         | \$1,095.00 |
| 2. | Berry & Tudor                | \$100.00   |
| 3. | Receivership Management Inc. | \$2,298.00 |
|    | \$2,029.75/Contract labor    |            |
|    | \$268.25/Other expenses      |            |

Pursuant to the Court's October 2, 2008 Order, if no objection is filed with the Court within fifteen (15) days after the filing of the Fee Notice with the Court, the Successor Trustee will proceed to authorize payments due counsel and any other parties listed.

Dated 11/2/12

Respectfully submitted,



Jeanne Barnes Bryant (TN. License 5835)  
Successor 401(K) Plan Trustee and Named Plan  
Administrator  
Castleton Group Inc. 401(K) Plan  
783 Old Hickory Blvd., Ste 255  
Brentwood, TN 37027  
Telephone: 615-370-0051  
Fax: 615-373-4336

**Certificate of Service**

**I hereby certify that a true and correct copy of the foregoing Successor Trustee's Thirty-Eighth Report and Fee Notice has been or will be mailed by U.S. Mail and/or faxed if number available by the 2nd day of Nov, 2012 to the parties noted below**

Robert M. Lewis, Jr.  
Office of the Solicitor  
U.S. Dept. of Labor  
61 Forsyth St., SW, Rm. 7T10  
Atlanta, GA 30303  
Counsel to the U.S. Department of Labor

The Castleton Group, Inc.  
c/o Hugh Davis  
Poyner Spruill LLP  
P.O. Box 1801  
Raleigh, NC 27602-1801  
Counsel to Chapter 7 Trustee Richard Sparkman

Christopher T Graebe  
Graebe Hanna & Welborn, PLLC  
4350 Lassiter at North Hills, Ste 375  
Raleigh, NC 27609  
Counsel to Plan Participating Employers Dr. Lane & Associates, DDS,  
Revels Turf and Tractor, Family Medical Supply, Inc. and XS, Inc.

**I hereby certify that a true and correct copy of the foregoing Successor Trustee's Thirty-Eighth Report and Fee Notice has been or will be posted to the website of Receivership Management, Inc. ([www.receivermgmt.com](http://www.receivermgmt.com)) and that notice of filing and posting has been mailed by U.S. Mail by the 2nd day of Nov, 2012 to the parties noted below**

1-800-Pack-Rat, LLC  
Attn: Don Platt  
6400 Goldsboro Rd Ste 300  
Bethesda, MD 20817

Albemarle Propane  
Attn: Alec Natt  
PO Box 1831  
Statesville, NC 28687-1831

Alternate Access, Inc.  
Attn: Adrienne Lumpkin  
5623 Duraleigh Rd, Suite 111  
Raleigh, NC 27612

Apex Veterinary Hospital Equine, P.A.  
Attn: Karin Prince & Dr. Richard Cochrane  
1600 E. Williams Street  
Apex, NC 27539

Ascom Wireless Solutions  
Attn: Nancy Duffy  
598 Airport Blvd, Suite 300  
Morrisville, NC 27560

Bes-Pak, Inc.  
Attn: Steve Whitt  
2306 Cedar Run Place  
Wilson, NC 27896

Carolina Safety Sports International, LLC  
Attn: Tammy Joyce  
124 Sunrise Center Drive  
Thomasville, NC 27360

Cenplex Building Services, Inc  
Attn: Dwight Riley & Chelle Ritchey  
2400 Reliance Avenue  
Apex, NC 27539

DB Management Company  
Attn: Joseph & Diane Bastian  
5850 Fayetteville Road, #205  
Durham, NC 27713

Dogwood Veterinary Hospital & Pet Resort  
Attn: Dr. Linda Sands  
51 Vickers Rd  
Chapel Hill, NC 27517

ducdud, LLC  
Attn: Jodi Cheek  
116 N. West St, Ste 200  
Raleigh, NC 27603

The Executive Staffing Group  
Attn: Matt Savage  
324 South Academy St  
Cary, NC 27511

E & E Farm Equipment  
Attn: Cheryl Ellington  
70 John Deere Road  
Henderson, NC 27537

Family Medical Supply, Inc.  
Attn: Joey Tart  
1120 W. Broad Street  
Dunn, NC 28334

Fortner Insurance Agency, Inc  
Attn: William Shurley  
311 Oak Avenue, P.O. Box 39  
Spruce Pine, NC 28777

Furdex.com  
Attn: Jimmy Redmond  
604 Sunset Drive  
High Point, NC 27262

Galaxy Travel dba Prestige Travel of NC  
Attn: Aaron Spaulding  
5400 Glenwood Avenue, Suite 200  
Raleigh, NC 27612

Harnett Tractor Company, Inc  
Attn: David Lewis  
PO Box 1152  
Dunn, NC 28334

Cabinets by Horizon, LLC  
PO Box 466  
Garner, NC 27529

Indoor Storm, LLC  
2300 Westinghouse Blvd., Ste. 110  
Raleigh, NC 27604

Jamakin Cakes dba Edible Art  
Attn: Michelle Holcomb & Bobby Reynolds  
3801 Hillsborough Street, Suite 405  
Raleigh, NC 27607

Klein Decisions, LLC  
Attn: Robert Padgette & Sally Atwater  
PO Box 13628, 68 TW Alexander Dr  
RTP, NC 27709-3628

L&J Hardwood  
Attn: Joe Amundson  
3554 State Route 125  
Bethal, OH 45106

Materials Innovation Technologies, LLC  
Attn: Jim Stike & Bill Austin  
320 Rutledge Road  
Fletcher, NC 28732

MBA Software Technologies, Inc.  
Attn: Pete Meyer  
48 McKinney Road  
Etowah, NC 27607

McLamb's LP Gas & Supply Co., Inc  
3469 NC 242 South  
Benson, NC 27504

Media Research Planning & Placement, Inc.  
Attn: Sheila Ogle  
201 West Chatham St. Ste 202  
Cary, NC 27511

Mike Hensley  
3948 Browning Place, Suite 200  
Raleigh, NC 27609

MMI Associates Consulting, Inc.  
Attn: Patricia Briguglio  
223 E. Chatham St  
Cary, NC 27511

NorTex Communications, Inc. dba Response Point  
Attn: Brian Gossett  
111 Corning Rd, Suite 116  
Cary, NC 27518

Personnel Management Services dba Lane & Associates, D.D.S., P.A.  
Attn: Phillip Butts  
12450 Cleveland Rd Ste 203  
Garner, NC 27529

Revels Tractor Company, Inc.  
Attn: Turner Revels  
2217 N Main St  
Fuquay Varina, NC 27526

Stewart Physical Therapy Clinic, Inc.  
Attn: Eddie Stewart  
1713 Vaughn Rd  
Burlington, NC 27217

The Animal Hospital of Wilkes, P.A.  
Attn: John Stinson & Stephanie Stinson  
1609 Curtis Bridge Road  
Wilkesboro, NC 28697

Triangle Cable Splicing Co., Inc.  
Attn: Randal Roch  
200 Powell Dr., Ste 108  
Raleigh, NC 27606

Triangle Compounding Pharmacy  
Attn: Joe Cabaleiro  
550 New Waverly Place, Suite 110  
Cary, NC 27518

TriSure Corporation  
Attn: John Cramer  
4325 Lake Boone Trail #200  
Raleigh, NC 27607

US Armored, LLC  
Attn: Michael D. Field  
410 North Boylan Ave, Ste 83  
Cary, NC 27603



VectorCSP, LLC  
Frank Holman  
405 E Main St  
Elizabeth City, NC 27909

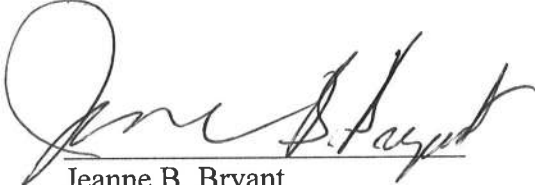
VEETech, P.C.  
Attn: Dene Perry & Amitava Sarkar  
113 Centrewest Ct  
Cary, NC 27513

Vein Clinic of Charlotte  
10502 Park Road, Ste. 120  
Charlotte, NC 28210

Wake Forest Urgent Care  
2115-A South Main Street  
Wake Forest, NC 27587

Worldwide Express  
Attn: Brian Tribble  
116 N. West St, Ste 200  
Raleigh, NC 27603

XS, Inc  
Attn: Thad Armbruster  
1500 Perimeter Park Dr, Ste 300  
RTP, NC 27560



Jeanne B. Bryant

# Receivership Management, Inc.

783 Old Hickory Blvd., Suite 255 Brentwood, TN 37027 (615) 370-0051 Fax (615) 373-4336

## Fee Schedule for Castleton Project:

Jeanne Barnes Bryant	\$150 per hour
Rob Moore	\$130 per hour
Billy Spaulding(C.P.A.)	\$110 per hour
Mary Batey (Claims Adm.)	\$40 per hour
Sarah Forton (Tech. Assist. 401k's)	\$45 per hour
Information Tech Consultant	\$65 per hour

Overhead charges are allocated pro-rata based upon the number of hours billed to a receivership. Overhead charges are included in the hourly rates shown above. Overhead includes expenses of a general nature which cannot be charged to a specific receivership. Examples include depreciation on equipment, insurance, etc.

Rent is allocated to a project based on a physical presence (i.e. Files, records, and other documentation) at the RMI location and the time spent on that project. It is by its nature an estimate and is normally set at the beginning of each quarter and remains constant during that quarter. It is charged, based on the preceding description, in addition to the hourly rates shown above. The allocation is reviewed each month and if there is a material change in either the physical presence occupied or time spent on the project, the rent allocation is adjusted to reflect current conditions.

Office Expenses which can be specifically identified to a project (eg. telephone, postage, copies etc.) are charged to the project as incurred.

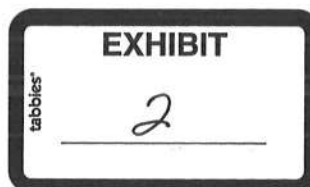
Travel: Per Diem-\$39.00 for meals and incidentals (first and last day of travel 75% of per diem amount allowed). Per Diem paid only if overnight travel is required. Transportation: By private car: 58.5 cents per mile; By common carrier: Actual ticket cost at coach. Lodging: Actual amount charged.



**SUMMARY TIME SHEET-SUCCESSOR TRUSTEE**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 8/01/12 THROUGH 9/30/12

Jeanne Barnes Bryant

AUG 2012 FEES	615.00
SEPT 2012 FEES	<u>480.00</u>
<b>TOTAL FEES</b>	<b><u><u>\$1,095.00</u></u></b>



Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

**CASTLETON GROUP INC 401K PLAN**

**August 2012**

8/2/2012	Jeanne Barnes Bryant	E-MAIL FROM TRANSAMERICA	0.2	\$150.00	\$30.00					
8/3/2012	Jeanne Barnes Bryant	E-MAIL FROM PORCH RE TRANSAMERICA	0.1	\$150.00	\$15.00					
8/7/2012	Jeanne Barnes Bryant	CALL RE APEX VET; E-MAIL TO SARAH FORTON RE SAME	0.3	\$150.00	\$45.00					
8/8/2012	Jeanne Barnes Bryant	CALLS RE CHECKS, DISCUSSION WITH ROB MOORE RE CURRENT REQUESTS	0.2	\$150.00	\$30.00					
8/14/2012	Jeanne Barnes Bryant	QUESTIONS RE REMAINING CHECKS	0.2	\$150.00	\$30.00					
8/21/2012	Jeanne Barnes Bryant	DRAFT REPORT, E-MAIL TO ROB MOORE RE CHANGES	0.5	\$150.00	\$75.00					
8/22/2012	Jeanne Barnes Bryant	QUESTION RE REPORT, E-MAIL TO ROB MOORE RE REPORT	0.2	\$150.00	\$30.00					
8/24/2012	Jeanne Barnes Bryant	QUESTION RE REPORT	0.2	\$150.00	\$30.00					
8/27/2012	Jeanne Barnes Bryant	DISCUSSION RE REMAINING PAYOUTS	0.2	\$150.00	\$30.00					
8/29/2012	Jeanne Barnes Bryant	E-MAIL RE REPORT, REVIEW CHANGES, DISCUSSION WITH SARAH FORTON RE SAME, E-MAIL TO GRAEBE AND DOL RE SAME, QUESTION RE MAILING	0.8	\$150.00	\$120.00					
8/30/2012	Jeanne Barnes Bryant	E-MAIL FROM DOL RE REPORT, E-MAIL TO ROB MOORE RE FILING, QUESTIONS RE INFORMATION TO MEMBERS, REVIEW SAME, APPROVE MAILING	1	\$150.00	\$150.00					
8/31/2012	Jeanne Barnes Bryant	QUESTION RE APPEAL, E-MAIL TO BILLY SPAULDING RE ACCOUNT	0.2	\$150.00	\$30.00					
<b>Total</b>					<b>\$615.00</b>					

Wednesday, October 24, 2012

Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

**CASTLETON GROUP INC 401K PLAN**

**September 2012**

9/4/2012	Jeanne Barnes Bryant	MULTIPLE E-MAILS FROM ROB MOORE AND MAPES RE INFORMATION ON TERMINATIONS, E-MAIL RE 5500 FILINGS	0.6	\$150.00	\$90.00				
9/5/2012	Jeanne Barnes Bryant	E-MAIL FROM GEOFF LEE RE REPORT, CHECK FILING	0.2	\$150.00	\$30.00				
9/6/2012	Jeanne Barnes Bryant	QUESTION RE REMAINING TERMINATIONS	0.2	\$150.00	\$30.00				
9/10/2012	Jeanne Barnes Bryant	DISCUSSION RE 5500 FILING, ADDITIONAL WORK NEEDED	0.1	\$150.00	\$15.00				
9/13/2012	Jeanne Barnes Bryant	CALLS RE FORMS, DISCUSSION WITH SARAH FORTON RE SAME	0.2	\$150.00	\$30.00				
9/14/2012	Jeanne Barnes Bryant	QUESTION RE REPORTS AND CURRENT SCHEDULE, MEMO RE SAME	0.2	\$150.00	\$30.00				
9/17/2012	Jeanne Barnes Bryant	DISCUSSION WITH DOL RE STATUS, ISSUES RE TRANSAMERICA, REVIEW REPORT, DISCUSSIONS WITH ROB MOORE RE SAME	0.5	\$150.00	\$75.00				
9/18/2012	Jeanne Barnes Bryant	QUESTION RE REMAINING TERMINATIONS	0.2	\$150.00	\$30.00				
9/20/2012	Jeanne Barnes Bryant	E-MAILS FROM ROB MOORE RE REMAINING MEMBERS, REVIEW SAME	0.2	\$150.00	\$30.00				
9/21/2012	Jeanne Barnes Bryant	E-MAILS FROM TRANSAMERICA	0.2	\$150.00	\$30.00				
9/25/2012	Jeanne Barnes Bryant	QUESTIONS FROM SARAH FORTON RE TRANSAMERICA, E-MAILS RE SAME	0.3	\$150.00	\$45.00				
9/26/2012	Jeanne Barnes Bryant	E-MAILS RE TRANSAMERICA	0.1	\$150.00	\$15.00				
9/27/2012	Jeanne Barnes Bryant	QUESTIONS RE 5500 FILINGS	0.2	\$150.00	\$30.00				
<b>Total</b>					<b>\$480.00</b>				

**SUMMARY TIME SHEET-LEGAL COUNSEL**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 8/01/12 THROUGH 9/30/12

Berry & Tudor

AUG 2012 FEES	INV 18333	<u>100.00</u>	<u>100.00</u>
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<b>TOTAL FEES</b>			<b><u><u>100.00</u></u></b>
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**BERRY & TUDOR, P.C.**

*A Professional Corporation*

5123 Virginia Way  
Suite B-23  
Brentwood, Tennessee 37027-7598

Telephone: (615) 726-1000

Fax: (615) 370-0077

FEIN: 62-1525112

Ms. Jeanne Barnes Bryant  
c/o Receivership Management, Inc.  
783 Old Hickory Blvd., Suite 255  
Brentwood, TN 37027

September 1, 2012

Client #: 801

RE: The Castleton Group, Inc. 401(k) Plan

Invoice #: 18333

DATE	DESCRIPTION	HOURS	AMOUNT
Aug-28-12	Review fax from R. Moore re: Dr. Buch; phoned R. Moore re: same.	0.10	25.00 BET
Aug-30-12	Telephone conference with R. Moore re: participant-level fee disclosure; telephone conference with R. Moore re: Dr. Buch's information on appeal and how to respond to him.	0.30	75.00 BET
	TOTAL FEES	0.40	\$100.00

**DISBURSEMENTS:**

TOTAL DISBURSEMENTS -0-

**TOTAL FEES & DISBURSEMENTS**

\$100.00

Previous Balance

\$412.50

Previous Payments

\$0.00

**PLEASE PAY**

**\$512.50**

**PAYMENT DUE WITHIN 30 DAYS OF STATEMENT. THANK YOU.  
PLEASE MAKE CHECKS PAYABLE TO BERRY & TUDOR, P.C.**

cc: Rob Moore via email

Posted  
8/9  
9-7-12

**SUMMARY TIME SHEET-CONTRACT LABOR**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 8/01/12 THROUGH 9/30/12

Receivership Management, Inc.

AUG 2012 FEES	1,487.25	
SEPT 2012 FEES	542.50	
RMI EXPENSES	<u>268.25</u>	<u>2,298.00</u>
<b>TOTAL FEES</b>		<b><u><u>\$2,298.00</u></u></b>



Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

CASTLETON GROUP INC 401K PLAN		August 2012
8/1/2012	Robert E. Moore, Jr.	EMAIL TO REBECCA PORCH RE: STATUS OF XS PLAN TO PLAN TRANSFER .2 \$130.00 \$26.00
8/2/2012	Robert E. Moore, Jr.	EMAIL TO S. TOTH RE: STATUS OF DISTRIBUTION .2; MEETING WITH S.FORTON RE DISTRIBUTIONS REMAINING, TRANSAMERICA SEEKING MISSING DISTRIBUTION FORMS .4 \$130.00 \$52.00
8/8/2012	Billy B. Spaulding	RECONCILE BANK ACCT 0.2 \$110.00 \$22.00
8/8/2012	Robert E. Moore, Jr.	UPDATE FROM C.LOGAN ON DISTRIBUTIONS ON RICHARD COCHRAN .10 0.1 \$130.00 \$13.00
8/8/2012	Sarah D. Forton	CALL TO PARTICIPANT BAUMGARNER RE: STATUS, FORWARD DISTRIBUTION FORMS RE: APEX VET & CAROLINA SAFETY-NO RESPONSES 1.5 \$45.00 \$67.50
8/9/2012	Robert E. Moore, Jr.	EMAIL TO A.FRIEDMAN RE: PLAN TRANSFER .2 0.2 \$130.00 \$26.00
8/9/2012	Sarah D. Forton	FORWARD DISTRIBUTION FORMS RE: APEX VET AND CAROLINA SAFETY-NO RESPONSES, INTELIOUS SEARCHES RE: SAME 3 \$45.00 \$135.00
8/10/2012	Sarah D. Forton	TRAVEL TO BANK, MAKE DEPOSIT 0.25 \$45.00 \$11.25
8/20/2012	Sarah D. Forton	WORK ON DISTRIBUTION, PREPARATION OF CHECKS 1 \$45.00 \$45.00
8/22/2012	Sarah D. Forton	WORK ON MOTION FILING 1 \$45.00 \$45.00
8/23/2012	Sarah D. Forton	REVIEW TA WEBSITE RE: PAYMENT RECONCILIATIONS 1 \$45.00 \$45.00
8/24/2012	Sarah D. Forton	TRAVEL TO BANK, MAKE DEPOSIT 0.25 \$45.00 \$11.25
8/28/2012	Robert E. Moore, Jr.	CALL WITH B.TUDOR RE: 404A NOTICE AND CLAIM FOR ADDITIONAL BENEFITS 1.0 1 \$130.00 \$130.00

**CASTLETON GROUP INC 401K PLAN****August 2012**

8/28/2012	Sarah D. Forton	DISCUSSION WITH ROB MOORE, JEANNE BRYANT AND BILLY SPAULDING RE: FINALIZING DISTRIBUTIONS	0.25	\$45.00	\$11.25
8/29/2012	Robert E. Moore, Jr.	WORK ON 404A NOTICE, FORWARD SAME TO S.FORTON FOR DISTRIBUTION 3.5; REPLY TO DR. CLARK RE: DERRICK SCOTT .2; REVIEW AND REVISE 37TH REPORT .2	3.9	\$130.00	\$507.00
8/29/2012	Sarah D. Forton	WORK ON MOTION FILING	0.5	\$45.00	\$22.50
8/30/2012	Sarah D. Forton	WORK ON PARTICIPANT ERISA FEE STATEMENTS	2	\$45.00	\$90.00
8/31/2012	Robert E. Moore, Jr.	REVIEW REMAINING ACCOUNTS NOT DISTRIBUTED, ISSUED EMAIL INQUIRIES ON 1-800 PACK RAT, ISSUE EMAIL RE: PLANNED TERMINATIONS TO C.LOGAN AND R.MAPES 1.75	1.75	\$130.00	\$227.50

**Total****\$1,487.25**

Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

**CASTLETON GROUP INC 401K PLAN**

**September 2012**

Date	Name	Description	Hours	Rate	Amount
9/4/2012	Robert E. Moore, Jr.	EMAIL TO AND FROM MAPES ON MISSING PARTICIPANTS IN REMAINING PLANS AND I-800 PACK RAT FAILURE TO TRANSFER .4	0.4	\$130.00	\$52.00
9/5/2012	Sarah D. Forton	WORK ON MOTION FILING	1	\$45.00	\$45.00
9/6/2012	Robert E. Moore, Jr.	RESPOND TO QUESTIONS RE: REMAINING TERMINATIONS .10	0.1	\$130.00	\$13.00
9/11/2012	Billy B. Spaulding	RECONCILE BANK ACCT	0.2	\$110.00	\$22.00
9/14/2012	Robert E. Moore, Jr.	EMAIL TO C.LOGAN RE: TRANSACTION FAILURES AND PENDING QUESTIONS .2; EMAIL TO A.FRIEDMAN RE: CURRENT STATUS OF I-800 PACK RAT .10; UPDATE J.BRYANT .10	0.4	\$130.00	\$52.00
9/14/2012	Sarah D. Forton	CALL FROM PARTICIPANT RE: DISTRIBUTION FORM	0.5	\$45.00	\$22.50
9/17/2012	Robert E. Moore, Jr.	DISCUSSION WITH DOL RE STATUS, ISSUES RE TRANSAMERICA, REVIEW REPORT, DISCUSSIONS WITH J.BRYANT RE SAME .5	0.5	\$130.00	\$65.00
9/18/2012	Robert E. Moore, Jr.	EMAIL TO AND FROM ANDY FRIEDMAN RE: QUESTIONS ON TRANSACTION .2	0.2	\$130.00	\$26.00
9/19/2012	Sarah D. Forton	FORWARD DISTRIBUTION REQUEST TO TRANSAMERICA	0.5	\$45.00	\$22.50
9/20/2012	Robert E. Moore, Jr.	UPDATE EMAILS ON REMAINING TERMINATIONS .2	0.2	\$130.00	\$26.00
9/20/2012	Sarah D. Forton	MAIL OUT DISTRIBUTION REQUEST FORMS	3	\$45.00	\$135.00
9/21/2012	Robert E. Moore, Jr.	EMAIL UPDATES FROM TRANSAMERICA .2	0.2	\$130.00	\$26.00
9/26/2012	Robert E. Moore, Jr.	RESPOND TO QUESTIONS RE: REMAINING TERMINATIONS .10	0.1	\$130.00	\$13.00
9/28/2012	Sarah D. Forton	CALL FROM PARTICIPANT RE: DISTRIBUTION FORM	0.5	\$45.00	\$22.50

Tuesday, October 23, 2012

**CASTLETON GROUP INC 401K PLAN**

**September 2012**

**Total**

**\$542.50**

**RMI EXPENSE RECOVERABLE CASTLETON**  
8/1/12 Through 9/30/12

Category Description	8/1/12- 8/31/12	9/1/12- 9/30/12	OVERALL TOTAL
5300 EXPENSES			
COPIES	-85.10	-31.60	-116.70
POSTAGE	-29.70	-39.05	-68.75
TELEPHONE LONG DISTANCE	-41.00	-41.80	-82.80
TOTAL 5300 EXPENSES	-155.80	-112.45	-268.25
OVERALL TOTAL	-155.80	-112.45	-268.25