

Matthew T. Christensen, ISB: 7213
ANGSTMAN JOHNSON
199 N. Capitol Blvd, Ste 200
Boise, Idaho 83702
Telephone: (208) 384-8588
Facsimile: (208) 629-0157
Email: mtc@angstman.com

Attorney for the Independent Fiduciary, Jeanne Bryant

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF IDAHO

EUGENE SCALIA, Secretary of the United States
Department of Labor,

Plaintiff,

v.

MATTHEW D. HUTCHESON, HUTCHESON
WALKER ADVISORS LLC, GREEN VALLEY
HOLDINGS LLC, and the RETIREMENT
SECURITY PLAN AND TRUST, f/k/a PENSION
LIQUIDITY PLAN AND TRUST,

Defendants.

Case No.: 1:12-CV-00236-EJL

SEPTEMBER 2019 INDEPENDENT FIDUCIARY REPORT

AND FEE NOTICE OF COSTS AND EXPENSES

PURSUANT TO THE Preliminary Injunction entered on June 13, 2012 [Doc. 16], Jeanne B. Bryant, Independent Fiduciary for Retirement Security Plan & Trust (RSPT) submits this Report and Notice for the period beginning September 1, 2019 to September 30, 2019.

I. ACTIVITY REPORT

ADMINISTRATIVE FEE COLLECTIONS

The Independent Fiduciary (“IF”) informed the Sponsoring Employers of the current status of their individual collections involving payment of the monthly administration fee. Attached as **Exhibit 1** are reports noting the collections and payments made from the account for previously approved fees and cost through September 30, 2019. The Independent Fiduciary will continue to collect any unpaid monthly administration fees using the same process previously approved by the Court. Most of the work in September involved continuing the process for terminating the Plan. It is anticipated there will be heightened administrative activity for the next several months as a result of the termination process. Billings for administrative fees for the month of September 2019 are the last invoiced monthly administrative fee billing. All plans are required to pay a termination fee of \$500.00.

PLAN TERMINATION ACTIVITIES

The IF received 39 completed Employer Withdrawal from Participation in RSPT forms on or about August 15, 2019. This constitutes the employers who remain in business. Based on these forms, 30 sponsoring employers are terminating their plans and 9 have or are creating successor 401K plans.¹

Since the majority of employers are terminating their 401K plans, nearly 300 participants received a blackout notice and distribution form. The blackout period is set to begin October 1, 2019. All forms were sent certified mail in compliance with the field assistance bulletin issued by the Plaintiff addressing missing participants.

¹ For those plans whose employer sponsor failed to submit a Withdrawal From Participation in RSPT form, those plans are being deemed abandoned by the IF and all participants in those plans are receiving a distribution form.

For those employers creating successor plans, employees who are currently employed will have their retirement accounts transfer to the successor plan. Those employees received notice of the successor plan and the blackout period. Those employees no longer employed by the sponsoring employer received a blackout notice and a distribution form. The IF and staff have been very busy coordinating with successor plans the deconversion and transfer.

As the Plan largely consists of participants holding qualified employers securities (QES), a great deal of time is involved in the transfer of these certificates. Regardless, the IF remains committed to the full distribution of the Plan by year's end.

ASSET RECOVERY EFFORTS

All of the Asset Recovery efforts concluded in May, 2019 and these efforts' results, and the decisions on which recovery actions were not taken, are fully discussed in the Notice to Terminate filed in July of 2019. (Docket No. 161)

II. ANTICIPATED FUTURE ACTIVITIES AND RESOLUTION OF ISSUES

As the Plan is currently being terminated, all of the activity will be focused on processing distribution forms and executing the necessary funds transactions to carry forth the directions of the participants. It is anticipated that all plan assets will be distributed by the end of plan year 2019 (12-31-2019). As such, one additional plan audit and one final Form 5500 will be filed as soon as possible. IRS Form 1099 reporting will be done by Aspire Financial for those mutual funds investments; the IF will be handling the IRS Form 1099 reporting for Qualified Employer Securities transfers/rollovers. These compliance and form filings will constitute the last actions required of the Independent Fiduciary.

III. ACCOUNTINGS REGARDING THE RSPT PLANS

As noted in Section 18 of the June 13, 2012 Preliminary Injunction, before causing the RSPT Plans to pay compensation, fees or expenses, the IF is to provide written notice (the “Fee Notice”) of such compensation, fees or expenses, by filing the Fee Notice (such as set forth herein) with the Court, and by serving a copy of that filing to the Secretary of the U.S. Department of Labor, Employee Benefits Security Administration, Hucheson and HWA. The IF intends to submit her Fee Notice and Activity Report on a monthly basis. If, within fifteen (15) days after filing of a Fee Notice, no objection to the Fee Notice, nor to payment by the Plan of the compensation, fees or expenses described therein, is filed with the Court, such compensation, fees or expenses shall be deemed reasonable expenses of the RSPT Plans and shall be paid by the RSPT Plans without further action or approval of the Court. The fees and expenses will be allocated as outlined above. In an effort to reduce costs, copies of this Report and Fee Notice are being posted to a website of the IF’s company Receivership Management, Inc. (“RMI”) and the participating employers will be given notice of the filing.

Attached hereto as **Exhibit 2** is a schedule of the hourly rates for the Independent Fiduciary and the hourly rates of any staff of Receivership Management, Inc. that might be used to carry out the terms of the Court’s June 13, 2012 Preliminary Injunction.

Attached hereto as **Collective Exhibit 3** are expense summaries for the period of September 1, 2019 to September 30, 2019.

Total expenses, as listed on **Collective Exhibit 3** include \$2,346.00 in IF fees, \$375.00 in legal fees, \$8,492.00 in accounting services, \$12,068.10 in contract labor and \$1,409.77 in expenses (which may include ERISA bond payment, court costs and identified charges for other fees, postage, copies, telephone, travel, etc.) for the period of September 1, 2019 to September

30, 2019. Please note that counsel have, as necessary, redacted exact descriptions of litigation issues in order to protect applicable privileges, and the IF may also redact the names of employers and or members as to protect the companies or the members involved when responding to individual questions if required. The IF believes that the remaining descriptions are sufficient for approval of the charges requested.

In the absence of any objection, total reimbursement for \$2,346.00 in IF fees, \$375.00 in legal fees, \$8,492.00 in accounting services, \$12,068.10 in contract labor and \$1,409.77 in expenses for administration and litigation will be paid from the Plan's expense reserve in accordance with the Court's June 13, 2012 Preliminary Injunction. The exact amounts and the parties to be paid are listed below:

1.	Jeanne Barnes Bryant	\$ 2,346.00
2.	Berry & Tudor	\$ 375.00
3.	Holmes & Swafford CPAs	\$ 6,800.00
4.	Receivership Management Inc.	\$ 13,477.87
	\$12,068.10 / Contract labor	
	\$1,409.77 / Other expenses	
5.	Billy Spaulding, CPA	\$ 1,692.00

Pursuant to the Court's June 13, 2012 Preliminary Injunction [Doc. 16], if no objection is filed with the Court within fifteen (15) days after the filing of this Notice and Report, the IF will authorize payments due counsel and any other parties listed.

DATED this 7th day of November, 2019.

/s/ Matt Christensen

 MATTHEW T. CHRISTENSEN
 Attorney for Jeanne B. Bryant, Independent
 Fiduciary for RSPT Plans

CERTIFICATE OF SERVICE

I hereby certify that on this 7th day of November, 2019, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system which sent a Notice of Electronic Filing to the individual(s) so noted below.

D. Marc Sarata
Robert Furst

Sarata.D.Marc@dol.gov
Furst.Robert@dol.gov

All others as listed on the Court's ECF Notice.

I further certify that on the 7th day of November, 2019, I served a copy of the foregoing notice on the following individuals or entities via US Mail, postage prepaid.

Matthew D. Hutcheson
33 Pinnacle Court
Donnelly, ID 83615

Matthew D. Hutcheson 14620-023
FCI Lompoc
Federal Correctional Institution
3600 Guard Road
Lompoc, CA 93436

Matthew D. Hutcheson
14076 Morell Road
McCall, Idaho 83638

Monty W. Walker
c/o R. Bradford Huss
Trucker Huss, APC
One Embarcadero Center, 12th Floor
San Francisco, CA 94111

DATED: November 7, 2019

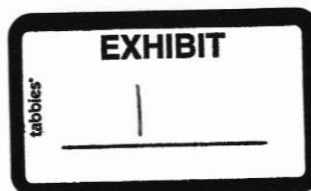
/s/ Matt Christensen
Matthew T. Christensen

10/14/2019 9:55 AM

RSPT TRUSTEE FEES RECEIVED 9-1-19 / 9-30-19
(RECEIVED \$824,901.69 FROM INCEPTION THRU 9/30/19)

EXHIBIT 1 PAGE 1

ARCODE		Account	Amount
RSPT73 201908	2175:RECVD	210	210.00
RSPT07 201908	2175:RECVD	210	210.00
RSPT2 201909	2175:RECVD	210	210.00
RSPT55 201909	2175:RECVD	210	210.00
RSPT 01 2019	2175:RECVD	210	1,470.00
RSPT 06 2019	2175:RECVD	210	3,780.00
RSPT 08 2019	2175:RECVD	210	1,790.00
RSPT 13 2019	2175:RECVD	210	3,780.00
RSPT 16 2019	2175:RECVD	210	210.00
RSPT 20 2019	2175:RECVD	210	1,260.00
RSPT 21 2019	2175:RECVD	210	630.00
RSPT 35 2019	2175:RECVD	210	1,470.00
RSPT 36 2019	2175:RECVD	210	210.00
RSPT 38 2019	2175:RECVD	210	1,050.00
RSPT 39 2019	2175:RECVD	210	1,890.00
RSPT 39 2019	2175:RECVD	210	1,890.00
RSPT 42 2019	2175:RECVD	210	630.00
RSPT 46 2019	2175:RECVD	210	1,470.00
RSPT 48 2019	2175:RECVD	210	420.00
RSPT 51 2019	2175:RECVD	210	1,470.00
RSPT 53 2019	2175:RECVD	210	3,780.00
RSPT 55 2019	2175:RECVD	210	110.00
RSPT 58 2019	2175:RECVD	210	2,420.00
RSPT 63 2019	2175:RECVD	210	1,580.00
RSPT 70 2019	2175:RECVD	210	420.00
RSPT 72 2019	2175:RECVD	210	1,890.00
RSPT 74 2019	2175:RECVD	210	1,050.00
RSPT 75 2019	2175:RECVD	210	320.00
RSPT 76 2019	2175:RECVD	210	2,420.00
RSPT 103 2019	2175:RECVD	210	630.00
RSPT 104 2019	2175:RECVD	210	630.00
RSPT 117 2019	2175:RECVD	210	3,780.00
RSPT 119 2019	2175:RECVD	210	3,780.00

TOTAL: \$ 47,070.00

Receivership Management, Inc.

1101 Kermit Drive, Suite 735 Nashville, TN 37217 (615) 370-0051 Fax (615) 373-4336

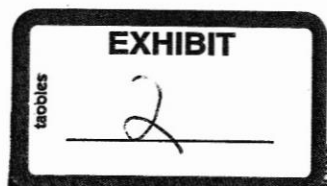
Effective April 1, 2016

Fee Schedule for Receivership Management, Inc:

Independent Fiduciary/Receiver	\$170 per hour
Claims processing administration	
ERISA Matters-Employee Benefit	
Plan Administrator-Receiver	
Operations	\$160 per hour
Accounting	\$130 per hour
Accounting Assistant	\$79 per hour
Support Staff	\$61 per hour
Medical Claims Processing Consultant	\$65 per hour
Information Tech Consultant	\$65 per hour

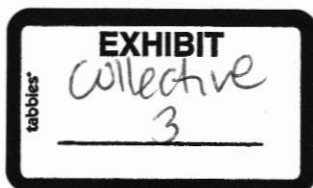
Office Expenses which can be specifically identified to a project (eg. telephone, postage, copies etc.) are charged to the project as incurred.

Travel: Per Diem-\$39.00 for meals and incidentals (first and last day of travel 75% of per diem amount allowed). Per Diem paid only if overnight travel is required. Transportation: By private car: 47 cents per mile; By common carrier: Actual ticket cost at coach. Lodging: Actual amount charged.



SUMMARY TIME SHEET
SERVICES PROVIDED FOR RETIREMENT SECURITY PLAN & TRUST
FOR THE PERIOD 9/1/2019 thru 9/30/2019

<u>Jeanne Barnes Bryant</u>		
SEPTEMBER 2019 FEES	<u>2,346.00</u>	2,346.00
<u>Receivership Management, Inc.</u>		
SEPTEMBER 2019 FEES - ADMIN	12,068.10	
SEPTEMBER 2019 RMI EXPENSES	<u>1,409.77</u>	13,477.87
<u>Holmes & Swafford CPAs</u>	<u>6,800.00</u>	6,800.00
<u>Berry & Tudor</u>	<u>375.00</u>	375.00
<u>Billy Spaulding, CPA</u>	<u>1,692.00</u>	<u>1,692.00</u>
TOTAL FEES		\$ <u>24,690.87</u>



JEANNE BARNES BRYANT						
1325 SHEPARD DRIVE						
FRANKLIN, TN 37069						
BILL TO:						
RECEIVERSHIP MANAGEMENT, INC						
510 HOSPITAL DRIVE, STE 490						
MADISON, TN 37115						
CLIENT REFERENCE: RSPT1						
DATE	INITIALS	COMPANY	DESCRIPTION	TIME	RATE	CHARGE
9/3/2019	JBB	RSPT1	EMAILS RE STATUS ON REPORT AND WEBSITE POSTING, EMAIL FROM COUNSEL RE FILING, EMAILS RE ASPIRE, DISCUSSIONS WITH ROB MOORE RE SAME	0.80	170.00	136.00
9/4/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE CHANGES, DISCUSSION WITH ROB MOORE RE BLACKOUT AND OTHER PROCESS ISSUES, EMAIL RE SAME	0.50	170.00	85.00
9/5/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE QUESTIONS, EMAIL FROM BILLY SPAULDING RE AUDIT, REVIEW INVOICES, QUESTIONS RE SAME	0.50	170.00	85.00
9/6/2019	JBB	RSPT1	EMAIL TO ROB MOORE RE GROUP AND WEBSITE, DISCUSSION WITH LIZ BREEDING RE SAME, EMAILS FROM ASPIRE RE QUESTIONS, EMAIL FROM BILLY SPAULDING RE AUDIT, EMAIL FROM COUNSEL RE REMAINING RETAINER FUNDS	0.70	170.00	119.00
9/9/2019	JBB	RSPT1	EMAILS TO AND FROM LIZ BREEDING RE GROUP EMAIL, EMAILS FROM ASPIRE RE QUESTIONS, EMAILS TO AND FROM ROB MOORE RE MEMBER QUESTIONS AND EMAILS	0.40	170.00	68.00
9/10/2019	JBB	RSPT1	CALL TO COLORADO COUNSEL RE RETAINER, EMAILS RE QUESTIONS FROM MEMBERS, DISCUSSION WITH ROB MOORE RE SAME	0.30	170.00	51.00
9/11/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE RESPONSES TO QUESTIONS, CALLS FROM MEMBERS, EMAIL FROM ROB MOORE RE SCHEDULE, DISCUSSION WITH ROB MOORE RE STOCK SIGNATURES, EMAIL FROM COUNSEL RE STATUS	0.40	170.00	68.00
9/12/2019	JBB	RSPT1	EMAILS FROM ROB MOORE AND ASPIRE RE QUESTIONS, EMAIL FROM BILLY SPAULDING RE ISSUES WITH AUDIT	0.50	170.00	85.00
9/13/2019	JBB	RSPT1	EMAILS TO AND FROM LAUREN GARCIA RE REPORT, EMAILS RE MEDALLION SIGNATURES AND ISSUES WITH REGIONS, EMAIL FROM COUNSEL RE QES AND IRS ISSUES, QUESTIONS RE SAME	0.70	170.00	119.00
9/16/2019	JBB	RSPT1	EMAILS RE MEETING WITH REGIONS, DISCUSSION WITH ROB MOORE RE SAME, EMAIL FROM LAUREN GARCIA RE INFORMATION FOR REPORT, DISCUSISON WITH ROB MOORE RE SAME, EMAIL TO ROB MOORE RE CHANGES TO DRAFT, EMAIL TO JACQUI LAWSON AND LAUREN GARCIA RE STATUS, EMAILS RE ASPIRE, DISUCSION WITH ROB MOORE RE AUDIT	1.50	170.00	255.00

9/17/2019	JBB	RSPT1	EMAIL RE BANK MEETING, REVIEW AND SIGN MULTIPLE TRANSFER FORMS FOR QES AND AUTHORIZATION, VISIT TO BANK FOR MEDALLION SIGNATURES FOR SEVERAL COMPANIES, EMAILS FROM ROB MOORE AND ASPIRE RE INFORMATION ON COMPANIES, DISCUSSION WITH LAUREN GARCIA RE REPORT, CALL TO ROB MOORE RE QUESTIONS ON MEDALLIONS	2.70	170.00	459.00
9/18/2019	JBB	RSPT1	EMAILS FROM ASPIRE, EMAILS TO AND FROM LAUREN GARCIA, EMAIL FROM ROB MOORE RE UPDATE ON STATUS, REVIEW REPORT	0.50	170.00	85.00
9/19/2019	JBB	RSPT1	EMAIL TO AND FROM LAUREN GARCIA RE INFORMATION FOR DOL, EMAILS FROM ASPIRE, DISCUSSION WITH JACQUI LAWSON RE STATUS ON ACCOUNTS AND AUDIT	0.50	170.00	85.00
9/20/2019	JBB	RSPT1	DISCUSSION WITH LAUREN GARCIA RE FILING, EMAILS RE ASPIRE, DISCUSSION ON 5500 WITH JACQUI LAWSON, EMAILS FROM BILLY SPAULDING RE FORMS AND STATUS, APPROVE REPORT, EMAIL TO DOL RE SAME, UPDATE RE ACCOUNTS, REVIEW SAME	1.00	170.00	170.00
9/23/2019	JBB	RSPT1	EMAIL RE CHECK FROM ASPIRE, REVIEW SAME, EMAILS FROM MEMBERS RE REQUESTS, EMAIL FROM COUNSEL RE HARDSHIP AMENDMENT, REVIEW AND SIGN SAME, EMAIL TO ROB MOORE RE AMENDMENT	0.70	170.00	119.00
9/24/2019	JBB	RSPT1	EMAILS TO AND FROM ASPIRE RE FEES, EMAIL TO ROB MOORE RE SAME	0.20	170.00	34.00
9/25/2019	JBB	RSPT1	EMAIL FROM BILLY SPAULDING RE AUDIT PROCESS AND 5500S, QUESTION RE SAME	0.20	170.00	34.00
9/26/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE SCHEDULE AND FINAL PROCESS, EMAILS RE SAME	0.20	170.00	34.00
9/27/2019	JBB	RSPT1	DISCUSSION WITH ROB MOORE AND JACQUI LAWSON RE ACCOUNT AND SCHEDULE, EMAIL TO DOL RE REPORT, QUESTIONS RE 5500S, EMAIL TO BILLY SPAULDING RE SAME	0.50	170.00	85.00
9/30/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE TERMINATION, EMAIL TO AND FROM MEMBER RE QUESTIONS, EMAIL TO AND FROM BILLY SPAULDING RE 5500S, QUESTIONS RE SAME, EMAIL RE TAX FORMS TO AND FROM BILLY SPAULDING, REVIEW AND SIGN FORMS, EMAIL TO AND FROM DOL RE REPORT	1.00	170.00	170.00
		<u>RSPT1 TOTAL</u>		<u>13.80</u>		<u>2,346.00</u>

Receivership Management, Inc.
 510 Hospital Drive, Suite 490
 Madison, TN 37115

Invoice for Professional Services

RETIREMENT SECURITY PLAN & TRUST - ADMIN					September 2019	
9/1/2019	Elizabeth E. Breeding	EDITING DOCUMENT, ASSIST W/ MAIL MERGE FOR TERMINATION LETTER; CALLS FROM CYNTHIA ZARDENETA & ROCKY CAMPBELL/ STEVEN COOP RE: TERMINATION PROCESS; PRINT, COLLATE AND ATTACH ASPIRE PACKETS FOR TERMINATION MAILING	3.1	\$61.00	\$189.10	
9/3/2019	Elizabeth E. Breeding	EDIT, PRINT, LABEL, SEND CERTIFIED MAIL AND RECORD THE NOTICE OF PLAN TERMINATION DOCUMENT & DISTRIBUTION PACKET TO ACTIVE (WITH BALANCE) AND TERM. EMPLOYEES; CALL FROM JAMES JACKSON OF COWBAC INC RE: TERMINATION AND MSG TO R.M. RE (QES); ASSIST EMPLOYERS AND EMPLOYEES WITH GENERAL TERMINATION QUESTIONS	6.5	\$61.00	\$396.50	
9/3/2019	Lauren B. Garcia	SAVE JULY REPORT TO F-DRIVE, FILE COPY, CALL FROM PARTICIPANT REQUESTING INFO ON TERM, FORWARD TO R.MOORE, ASSIST IN MAILING OF TERM NOTICES, TRIP TO BANK TO TAKE DEPOSITS	1.5	\$61.00	\$91.50	
9/4/2019	Elizabeth E. Breeding	CALL FROM NATHAN HLAVATY RE: COMPLETION OF DISTRIBUTION PACKET, CONTACT INFO FOR ASPIRE, & FWD ADDITIONAL QUESTIONS TO R.M.; COPY OF CHECK AND LETTER & FEDEX TO JP MORGAN CHASE LOCKBOX # 3595 ATTN: MATRIX TRUST (CHECK # 15686)	0.7	\$61.00	\$42.70	
9/5/2019	Elizabeth E. Breeding	CALLS FROM EMPLOYERS AND EMPLOYEES RE: TERMINATION AND DISTRIBUTION PACKETS; CALL FROM MIKE BORCHELT RE: (QES) & MSG TO R.M.	0.5	\$61.00	\$30.50	
9/5/2019	Jacqui D. Lawson	DISCUSSION MIKE BORCHELT; UPDATE INFORMATION; DISCUSSION W/ RMOORE RE:SAME (1.0)	1	\$79.00	\$79.00	
9/5/2019	Lauren B. Garcia	RECEIPT OF DRAFT EMAIL, EDITS TO RSPT RECORDS DATABASE, DISCUSSION W E. BREEDING RE SUCH,	0.3	\$61.00	\$18.30	

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RETIREMENT SECURITY PLAN & TRUST - ADMIN					September 2019	
9/5/2019	Lauren B. Garcia	POST FEE AND EXPENSE ACCRUAL X3	0.3	\$79.00	\$23.70	
9/6/2019	Elizabeth E. Breeding	CALLS FROM EMPLOYERS AND EMPLOYEES RE: TERMINATION AND DISTRIBUTION PACKETS	1	\$61.00	\$61.00	
9/6/2019	Jacqui D. Lawson	REVIEW ACTIVE LEARNERS PURCHASE AGREEMENT; DISCUSSION W/LGARCIA SAME (.30) REVIEW & RESPOND TO BSPAULDING EMAIL (.50) REVIEW DEPOSITS RE: TERM FEES & 210 PAYMENTS; CALCULATE RSPT NO PAY EMPLOYERS (2.0)	2.8	\$79.00	\$221.20	
9/6/2019	Lauren B. Garcia	RECEIPT OF SHARE AGREEMENT, SCAN TO FILE AND EMAIL TO R.MOORE ET, FILE	0.1	\$61.00	\$6.10	
9/6/2019	Robert E. Moore, Jr.	EMAILS TO A.MILLWARD RE: JMP DISTRIBUTORS .10	0.1	\$160.00	\$16.00	
9/6/2019	Robert E. Moore, Jr.	TERMINATION EMAILS, QUESTIONS, AND CALLS .4	0.4	\$160.00	\$64.00	
9/7/2019	Robert E. Moore, Jr.	EMAIL TO A.MILLWARD RE: CONTACT AND PROCESS ON DISTRIBUTION FORMS .2	0.2	\$160.00	\$32.00	
9/9/2019	Elizabeth E. Breeding	CALLS FROM EMPLOYERS AND EMPLOYEES RE: TERMINATION AND DISTRIBUTION PACKETS, MASS EMAIL TO PARTICIPANTS RE: IMPORTANT UPDATE/ PLAN TERMINATION	1.5	\$61.00	\$91.50	
9/9/2019	Jacqui D. Lawson	TRANSFER FUNDS; POST TRANSACTION, APPROVE CONTRACT LABOR; VERIFY BUDGET FOR 2019; EMAIL LGARCIA SAME	0.5	\$79.00	\$39.50	
9/9/2019	Lauren B. Garcia	PREPARE/POST DEPOSITS X 3	0.3	\$79.00	\$23.70	
9/9/2019	Lauren B. Garcia	SCAN AND FILE DEPOSIT, TRIP TO BANK (SPLIT), TRIP TO PO BOX TO MAIL CERTIFIED LETTER	0.5	\$61.00	\$30.50	
9/9/2019	Robert E. Moore, Jr.	EMAIL TO L.BREEDING RE: INFORMATION ON DISTRIBUTION FORMS, UPCOMING TASKS .10; CALLS ON DISTRIBUTION FORMS, QES QUESTIONS .4; RESPOND TO QUESTIONS FROM J.BRYANT RE: EMPLOYER EMAIL PROCESS .10	0.6	\$160.00	\$96.00	
9/10/2019	Elizabeth E. Breeding	FILE INCOMING DISTRIBUTION PACKETS; STAMP "COPY" ON NOTICE OF PLAN TERMINATION FORMS DATED 8/26/2019 AND 9/3/2019, ASSIST EMPLOYERS AND EMPLOYEES VIA PHONE WITH PLAN TERMINATION QUESTIONS; COMPLETION OF DISTRIBUTION PACKETS; DATA ENTRY OF DATES OF PLAN DETERMINATION NOTICES MAILED	3	\$61.00	\$183.00	

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RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019		
9/10/2019	Robert E. Moore, Jr.	MEETING WITH L.BREEDING RE: DISTRIBUTION FORMS AND SUCCESSOR PLANS .25	0.25	\$160.00	\$40.00	
9/11/2019	Elizabeth E. Breeding	CALLS FROM EMPLOYERS AND EMPLOYEES RE: TERMINATION AND DISTRIBUTION PACKETS; MEETING WITH R.M. RE: ORGANIZATION AND FILING OF DOCUMENTS, ACCOUNTS MOVING TO SUCCESSOR PLANS, BLACKOUT NOTICE AND DISTRIBUTION MAILING; DATA ENTRY OF DOCUMENT MAIL DATE; EMAIL PLAN CONTACT PERSON TO VERIFY CURRENT EMPLOYMENT STATUS OF EMPLOYEES	6.1	\$61.00	\$372.10	
9/11/2019	Lauren B. Garcia	ASSIST E.BREEDING WITH RSPTI DATABASE	0.4	\$61.00	\$24.40	
9/11/2019	Robert E. Moore, Jr.	RESPOND TO CALLS AND QUESTIONS RE: QES AND VALUATIONS 1.5; RESPOND TO QUESTIONS FROM E.BREEDING RE: DISTRIBUTION NOTICES, SUCCESSOR PLANS, MAILINGS, MEETING WITH E.BREEDING RE: SAME 2.8; EMAILS TO A.MILLWARD RE: JMP DISTRIBUTORS .25; EMAILS TO EMPLOYERS R	5.3	\$160.00	\$848.00	
9/12/2019	Elizabeth E. Breeding	PRINT, ATTACH AND SEND CERTIFIED MAIL LETTER AND DISTRIBUTION PACKET TO APPLICABLE TERMED COMMAND DECISIONS PARTICIPANTS; PRINT, ATTACH AND MAIL SUCCESSOR BLACKOUT NOTICE TO APPLICABLE COMMAND DECISIONS PARTICIPANTS; RESPOND TO CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS	7.4	\$61.00	\$451.40	
9/12/2019	Lauren B. Garcia	SIGN FOR MANY CERTIFIED LETTERS FORWARD MAIL TO E.BREEDING FOR PROCESSING, EMAIL TO L.BREEDING RE. CALLER REQUESTING ASPIRE PACKET, ASSIST IN BLACKOUT NOTICE MAILING, ANSWER 5 CALLS REQUESTING ASSISTANCE WITH DISTRIBUTION FORMS, TRIP TO FEDEX TO OVERNIGHT	3.1	\$61.00	\$189.10	
9/12/2019	Robert E. Moore, Jr.	FOLLOW UP WITH L.BREEDING RE: MAILINGS, RESPOND TO QUESTIONS RE: SAME FROM L.GARCIA 1.0; EMAILS TO EMPLOYERS RE: PLAN TERMINATIONS .5; CALLS WITH EMPLOYERS RE: TERMINATIONS .7	2.2	\$160.00	\$352.00	
9/13/2019	Elizabeth E. Breeding	PRINT, ATTACH AND SEND CERTIFIED MAIL LETTER AND DISTRIBUTION PACKET TO APPLICABLE PARTICIPANTS; PRINT, ATTACH AND MAIL SUCCESSOR BLACKOUT NOTICE TO APPLICABLE PARTICIPANTS; RESPOND TO CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS	5.5	\$61.00	\$335.50	

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RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019	
9/13/2019	Lauren B. Garcia	PREPARE DEPOSIT AND POST THREE CHECKS TO QUICKEN	0.4	\$79.00	\$31.60
9/13/2019	Lauren B. Garcia	RECEIPT OF FEDEX DELIVERY CONFIRMATION, FORWARD TO R.MOORE, COMPLETE MAIL MERGE FOR DISTRIBUTION PACKETS, SCAN CHECKS TO FILE, TRIP TO BANK TO MAKE DEPOSIT (SPLIT)	1.2	\$61.00	\$73.20
9/13/2019	Makenzey R. Hill	RECEIPT AND DISTRIBUTION OF CORRESPONDENCE TO L. BREEDING	0.1	\$61.00	\$6.10
9/13/2019	Robert E. Moore, Jr.	CALL WITH A.MILLWARD RE: TERMINATION MATTERS .5; FOLLOW UP WITH L.BREEDING RE: SUCCESSOR PLAN MAILINGS, EMAILS ON SUCCESSOR PLANS TO M.WALKER 2.0	2.5	\$160.00	\$400.00
9/15/2019	Robert E. Moore, Jr.	REVIEW AND RESPOND TO TERMINATION QUESTIONS, REVIEW WIND REEF AND RESPOND TO EMAIL INQUIRY FROM B.CAIN RE: TRANSFER TO ERPA 1.5	1.5	\$160.00	\$240.00
9/16/2019	Elizabeth E. Breeding	ATTACH, "COPY" STAMP & DATA ENTRY OF NOTICES MAILED TO PARTICIPANTS ON 9/12/19 & 9/13/19; CALL FROM TERRY MARKWARDT RE: RMI'S RECEIPT OF CHECK; MEETING W/ R.M. RE NOTICES MAILED; MAIL TERM AND SUCCESSOR NOTICES TO PARTICIPANTS OF KINGDOM GROWTH ENTERPRISES & WISECO; PULL SUCCESSOR PLAN FILES FOR R.M.; CALLS FROM EMPLOYERS AND EMPLOYEES FOR GENERAL PLAN TERMINATION INFO	5.4	\$61.00	\$329.40
9/16/2019	Lauren B. Garcia	ISSUE 4 CHECKS FOR PAYMENT OF APPROVED FEES, POST TRANSFER, PREPARE AUGUST INVOICE, PREPARE EXPENSE RECOVERABLE EXHIBIT, PREPARE 210 FEES EXHIBIT, PREPARE TIME SUMMARY EXHIBIT, EDITS TO AUGUST REPORT WITH FINANCIAL DATA, POST FEE AND EXPENSE ACCRUAL, EDIT	1.4	\$79.00	\$110.60
9/16/2019	Lauren B. Garcia	REVIEW AUGUST 2019 COURT REPORT, EMAIL TO R.MOORE ET AL, EMAIL TO L.COOPER TO REQUEST FUNDS TRANSFER	0.3	\$61.00	\$18.30
9/16/2019	Makenzey R. Hill	RECEIPT AND DISTRIBUTION OF CORRESPONDENCE; TERMINATION DISTRIBUTION FORMS DISTRIBUTED TO L. BREEDING	0.2	\$61.00	\$12.20
9/16/2019	Robert E. Moore, Jr.	REVIEW AND RESPOND TO EMAILS RE: TERMINATION QUESTIONS, MEETING WITH L.BREEDING RE: SUCCESSOR PLANS, CALL WITH ASCENSION RE: COMMAND DECISIONS SUCCESSOR PLAN, CALL WITH T.MARKWARDT RE: SUCCESSOR PLAN 1.15; REVIEW SUCCESSOR PLAN DOCUMENTS WITH L.BREEDING .	4.65	\$160.00	\$744.00

Thursday, October 10, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019	
9/17/2019	Elizabeth E. Breeding	CALL FROM LARRY PARKER, EMPLOYER AT LAFAYETTE PARKER ENTERPRISES RE: HIS UNINTENTIONAL SELECTION TO W/D FROM PLAN AS HE INTENDED TO ROLL OVER TO SUCCESSOR PLAN, MSG TO R.M.; MAIL TERM LETTER & DISTRIBUTION PACKET TO UPDATED ADDRESS FOR FERNANDO & NICOLE MEDRANO; RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; DELIVER CERTIFIED MAIL TO POST OFFICE	4	\$61.00	\$244.00
9/17/2019	Lauren B. Garcia	REQUEST FROM L.BREEDING TO CONFIRM RECEIPT OF TERMS FEES FOR TWO EMPLOYERS, REVIEW RECORDS AND FOLLOW-UP WITH L.BREEDING, POST DEPOSIT TO QUICKEN	0.4	\$79.00	\$31.60
9/17/2019	Lauren B. Garcia	EMAIL FROM J.BRYANT RE EDITS NEEDED TO AUGUST 2019 REPORT, DISCUSSION WITH JBRYANT RE SUCH, CALL FROM M.KELLER RE NOTICE OF TERM LETTER RECEIVED, EMAIL TO L.BREEDING RE SUCH AND REQUEST TO FOLLOW-UP, EMAIL FROM L.BREEDING RE SEPTEMBER INVOICING - FORWARD	0.5	\$61.00	\$30.50
9/17/2019	Lauren B. Garcia	PRINT 4 CHECKS FORWARD TO R.MOORE TO SIGN	0.3	\$61.00	\$18.30
9/17/2019	Makenzey R. Hill	ASSISTED L. BREEDING WITH DISTRIBUTION AND FILING OF CORRESPONDENCE	0.1	\$61.00	\$6.10
9/17/2019	Robert E. Moore, Jr.	PREPARE AUGUST ACTIVITY REPORT .5	0.5	\$160.00	\$80.00
9/18/2019	Elizabeth E. Breeding	RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; COUNT OF ALL EMPLOYERS THAT HAVE INDICATED IF THEY PLAN TO W/D (TERM) OR MOVE TO SUCCESSOR PLAN; DATA ENTRY OF DATE RECEIVED FOR ASPIRE PACKETS AND RETURNED MAIL	4	\$61.00	\$244.00
9/18/2019	Lauren B. Garcia	DISCUSSION WITH J.LAWSON RE PLAN STATUS (.1), REVIEW AND EDITING OF TO RSPT AUGUST COURT REPORT AND EXHIBITS - REESTABLISH REPORT FORMATTING (1.6), CALL FROM H.MANILOFF, EMAIL TO R. MOORE SUCH, EMAIL TO R.MOORE RE CALL FROM A. PIERCE AND SUCCESSOR LETTER	2.8	\$61.00	\$170.80
9/18/2019	Makenzey R. Hill	RECEIPT AND DISTRIBUTION OF CORRESPONDENCE	0.1	\$61.00	\$6.10
9/18/2019	Robert E. Moore, Jr.	EMAIL TO A.MILLWARD RE: BOUND FOR HAWAII QUESTIONS .10	0.1	\$160.00	\$16.00

Thursday, October 10, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019	
9/19/2019	Elizabeth E. Breeding	MEETING WITH J.L. TO REVIEW SEPTEMBER \$210 INVOICE, PRINT AND MAIL INVOICES	1.2	\$61.00	\$73.20
9/19/2019	Jacqui D. Lawson	TERM PAYMENTS RECEIVED INCEPTION TO DATE; SETUP INVOICING FOR TERM PAYMENTS WITH PROOF OF PAYMENTS ATTACHED COMPARED TO PLAN ASSETS; RSPT MONTHLY INVOICING DISCUSSION W/LBREEDING SAME; CONFERENCE CALL W/JBRYANT SAME REPORT OF PAYMENTS RECEIVED & DISCUSSION OF F5500 & BUDGET; DISCUSSION OF THOLMES & AUDIT	5	\$79.00	\$395.00
9/19/2019	Lauren B. Garcia	EMAIL FROM J.LAWSON TO REVIEW TERM DEPOSITS TO DATE - REVIEW DEPOSIT RECORDS AND REPLY	0.5	\$79.00	\$39.50
9/19/2019	Lauren B. Garcia	EMAIL RSPT REPORT TO J.BRYANT ET AL	0.1	\$61.00	\$6.10
9/19/2019	Makenzey R. Hill	RECEIPT AND DISTRIBUTION OF CORRESPONDENCE	0.1	\$61.00	\$6.10
9/20/2019	Elizabeth E. Breeding	RE-MAIL OF ASPIRE PACKET AND COPY OF TERM NOTICE TO UPDATED ADDRESSES FOR ENRIQUE GUERRERO, JUANA GUERRERO, BRETT BARNEY & KEITH ELLIOTT; TRAVEL TO POST OFFICE TO DELIVER RE-MAIL ASPIRE PACKETS	1.7	\$61.00	\$103.70
9/20/2019	Jacqui D. Lawson	MEETING WITH JBRYANT (.30) MEETING W/RMOORE COMPLETE TERM INVOICES EMAIL SAME; BUDGET 2019-2020. DISCUSSION W/ TIM HOLMES, RESPOND TO EMAILS (.80)	1.1	\$79.00	\$86.90
9/20/2019	Lauren B. Garcia	RECEIPT OF MATRIX CHECK, SCAN AND EMAIL, TRIP TO BANK TO MAKE DEPOSIT (.1), CALL FROM PARTICIPANT REQUESTING DISTRIBUTION INFO, EMAIL MESSAGE TO L.BREEDING TO FOLLOW-UP	0.3	\$61.00	\$18.30
9/20/2019	Lauren B. Garcia	PREPARE AND POST TWO DEPOSITS	0.4	\$79.00	\$31.60
9/20/2019	Lauren B. Garcia	EMAIL FROM B. SPAULDING RE 8955 REQUIREMENTS, DOWNLOAD FORM FROM IRS AND REPLY	0.2	\$61.00	\$12.20
9/20/2019	Robert E. Moore, Jr.	EMAIL TO COMMAND DECISIONS RE: ASCENSUS FORMS .10	0.1	\$160.00	\$16.00
9/22/2019	Robert E. Moore, Jr.	TERMINATION WORK 1.0	1	\$160.00	\$160.00

Thursday, October 10, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**September 2019**

9/23/2019	Elizabeth E. Breeding	STAFF MEETING RE: LOGGING AND TRACKING OF FORMS, MISSING PARTICIPANT INFO & GENERAL DISTRIBUTION PROCESS; RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; DATA ENTRY OF ASPIRE PACKET RECEIVED DATE; UPDATE ADDRESS OF RECORD FOR PARTICIPANTS; MEETING WITH R.M. RE: PROCESSING OF DISTRIBUTION FORMS; DATA CHECK OF DISTRIBUTION FORM TO CONFIRM ACCT #, SSN, HIRE DATE & TERM DATE FOR RETURNED DISTRIBUTION FORMS AND EDIT INCORRECT/ ADD MISSING INFO	3.1	\$61.00	\$189.10
9/23/2019	Lauren B. Garcia	STAFF MEETING RE STATUS OF DISTRIBUTIONS, CALL FROM PARTICIPANT REQUESTING ASSISTANCE WITH DISTRIBUTION FORM - TRANSFER TO L.BREEDING, CALL FROM PARTICIPANT REQUESTING RECEIPT OF DISTRIBUTION FORM - EMAIL TO L.BREEDING TO FOLLOW UP	0.2	\$61.00	\$12.20
9/23/2019	Lauren B. Garcia	EMAIL FROM R.MOORE RE OUTSTANDING EMPLOYER TERM FEES, EMAIL FROM B.SPAULDING RE INSTRUCTIONS FOR FILING FORMS 8955	0.2	\$61.00	\$12.20
9/23/2019	Robert E. Moore, Jr.	TERMINATION WORK 4.1	4.1	\$160.00	\$656.00
9/24/2019	Elizabeth E. Breeding	RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; DATA ENTRY OF ASPIRE PACKET RECEIVED DATE, DATA CHECK OF RETURNED DISTRIBUTION FORM TO CONFIRM ACCT #, SSN, HIRE DATE & TERM DATE & EDIT INCORRECT/ ADD MISSING INFO; CALLS AND/OR EMAILS TO PARTICIPANTS: JEFF & KATE MAKOHON, HELEN FORTIER, STEVE COOP, MARK HALLENBECK & CALVIN GARNER TO OBTAIN MISSING AND/OR INCORRECT INFORMATION FROM RETURNED DISTRIBUTION PACKET	3.5	\$61.00	\$213.50
9/24/2019	Lauren B. Garcia	TRIP TO POST OFFICE TO MAIL CERTIFIED LETTER (SPLIT TIME)	0.1	\$61.00	\$6.10
9/24/2019	Robert E. Moore, Jr.	CALL WITH J.BRYANT RE: TERMINATION PROCESS, AUTHORIZATION TO EXECUTE FORMS, AUDIT QUESTIONS .25	0.25	\$160.00	\$40.00

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RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019	
9/25/2019	Elizabeth E. Breeding	RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS & ASSIST WITH COMPLETION OF ASPIRE PACKET; REMAIL ASPIRE PACKET TO MARCY CONNER; EMAIL CORRESPONDENCE WITH MARK & JUDITH HALLENBECK & UPDATE DISTRIBUTION PACKET TO INCLUDE PLAN NAME & ACCT NUMBER FOR BOTH PARTIES; DATA CHECK OF DISTRIBUTION FORM TO CONFIRM ACCT #, SSN, HIRE DATE & TERM DATE FOR RETURNED DISTRIBUTION FORMS AND EDIT INCORRECT/ ADD MISSING INFO; DATA ENTRY OF RTS DATE & RECEIVED DATES OF ASPIRE PACKETS; REVIEW R.M.'S NOTES ON EMPLOYER FILES; CONFIRM RECEIPT OF \$500 TERM CHECK FOR EACH EMPLOYER	4.5	\$61.00	\$274.50
9/25/2019	Jacqui D. Lawson	INSPECT DEPOSITS FOR PROPER DOCUMENTATION; POST EMPLOYERS TO DEPOSIT FROM ASPIRE (1.0) FORWARD REQUEST FROM TIM HOLMES TO RMOORE & BSPAULDING (.10) ANALYZE & PRODUCE INFORMATION REQUESTED BY TIM HOLMES FOR AUDIT (1.0) DISCUSSION OF PAYROLL WAGES & 401K WITH ROB MOORE (.20)	2.3	\$79.00	\$181.70
9/25/2019	Lauren B. Garcia	TWO PARTICIPANT CALLS REQUESTING ASSISTANCE WITH DISTRIBUTION FORMS	0.2	\$61.00	\$12.20
9/25/2019	Robert E. Moore, Jr.	RESPOND TO TERMINATION QUESTIONS FROM EMPLOYERS AND PARTICIPANTS .2; RESPOND TO AUDITOR QUESTIONS .10; APPROVE TERMINATION FEES TO ASPIRE, RESPOND TO QUESTIONS ON TIMING FROM M.PETRY AND M.EMERSON .10;	0.4	\$160.00	\$64.00
9/26/2019	Elizabeth E. Breeding	CONFERENCE CALL WITH ALLISON MILLWARD & R.M. RE: MANDATORY TAX WITHHOLDING, (QES), BLACKOUT PERIOD, PROCESS TO GET DISTRIBUTION FORMS TO ASPIRE AND TENTATIVE TIMELINE FOR OVERALL PROCESSING; MEETING WITH R.M RE: TASK REVIEW, COUNT OF RTS/ UNDELIVERABLE & TOTAL OF NOTICES MAILED TO ALL PARTICIPANTS, RE-MAILS & REPORT DATA TO R.M.; RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; EMAIL CORRESPONDENCE WITH LARRY PARKER RE: COMPLETION & RETURN OF HIS AMENDED W/D FROM PARTICIPATION FORM; SEARCH THROUGH RETURNED DISTRIBUTION PACKETS FOR INDICATION OF DECEASED PARTICIPANTS; COPY ENVELOPES, DETACH COPIES & SCAN IN SIGNED DISTRIBUTION FORMS (BATCH 1)	7.4	\$61.00	\$451.40

RETIREMENT SECURITY PLAN & TRUST - ADMIN				September 2019	
9/26/2019	Robert E. Moore, Jr.	TERMINATION WORK, PROCESSING PARTICIPANT DISTRIBUTION FORMS, EXECUTION OF SAME AS DIRECTED BY J.BRYANT 5.8	5.8	\$160.00	\$928.00
9/27/2019	Elizabeth E. Breeding	DETACH DOCUMENTS & COPY ENVELOPES IN PREPARATION FOR SCANNING DISTRIBUTION PACKETS; EMAIL CORRESPONDENCE WITH FERNANDO MEDRANO RE: CONFIRM RECEIPT OF HIS AND NICOLE'S DISTRIBUTION PACKET; DATA ENTRY OF RTS DISTRIBUTION PACKETS; RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; DATA ENTRY OF ASPIRE PACKET RECEIVED DATE, DATA CHECK OF RETURNED DISTRIBUTION FORM TO CONFIRM ACCT #, SSN, HIRE DATE & TERM DATE & EDIT INCORRECT/ ADD MISSING INFO; EMAIL TO J.L. RE: VERIFY IF RMI CAN DEDUCT UNPAID \$500 TERM FEE FROM EMPLOYER'S ACCTS THAT REMAIN UNPAID: SAM'S DELI, WHAT IF/MACH INDUSTRIES, HOW DO YOU TAKE YOUR COFFEE? & C&PW, EMAIL BENEFICIARIES AND PREPARE CERTIFIED MAIL OF BENEFICIARY DISTRIBUTION PACKET FOR JIMMY PARKERSON C/O LYNN PARKERSON & RAINER ROGERS C/O MICHELLE ROGERS	3.9	\$61.00	\$237.90
9/27/2019	Jacqui D. Lawson	DISCUSSION W/RMOORE & JBRYANT; RE: F5500 SCH. UPDATE ON PLAN ASSETS; RESPOND TO BSPAULDING EMAILS; DISCUSSION OF PACKETS RECEIVED WITH LBREEDING (1.0)	1	\$79.00	\$79.00
9/27/2019	Lauren B. Garcia	TRIP TO PO BOX TO SEND CERTIFIED LETTER (SPLIT)	0.2	\$61.00	\$12.20
9/30/2019	Elizabeth E. Breeding	RESPOND TO GENERAL CALLS AND EMAILS FROM EMPLOYEES AND EMPLOYERS RE TERMINATION PROCESS; DETACH DOCUMENTS & COPY LARGE ENVELOPES FOR BATCH 2 OF DISTRIBUTION FORMS; OPEN AND SORT MAIL; DATA ENTRY OF RTS DISTRIBUTION FORMS; CALL TO LYNN PARKERSON RE: UPDATE EMAIL & PHONE NO., AND REQUEST TO SEND COPY OF DEATH CERT W/ BENEFICIARY FORM; DATA CHECK OF DISTRIBUTION FORM TO CONFIRM ACCT #, SSN, HIRE DATE & TERM DATE FOR RETURNED DISTRIBUTION FORMS AND EDIT INCORRECT/ ADD MISSING INFO	3.4	\$61.00	\$207.40
9/30/2019	Lauren B. Garcia	EMAIL FROM B.SPAULDING WITH 5 PDF TAX FORMS, CORRECT PLAN NAMES AND PRINT FOR SIGNATURE, MAIL 5 TAX FORMS CERTIFIED, CALL FROM PARTICIPANT REQUESTING ASSISTANCE WITH ASPIRE PACKET - EMAIL TO L.BREEDING, TRIP TO PO BOX TO MAIL CERTIFIED TAX RETURNS	1	\$61.00	\$61.00

Thursday, October 10, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN					September 2019	
9/30/2019	Robert E. Moore, Jr.	TERMINATION WORK 2.0	2	\$160.00	\$320.00	
Total						\$12,068.10

HOLMES & SWAFFORD CPAs

820 N THOMPSON LN, SUITE 1E
MURFREESBORO, TN 37129-4340

RECEIVERSHIP MANAGEMENT INC
ROBERT MOORE
510 HOSPITAL DR STE 490
MADISON, TN 37115-5049

Invoice: 6501
Date: 10/10/2019

For professional service rendered as follows:

Audit services related to the limited scope ERISA examination of the Retirement Security Plan and Trust for the year ended December 31, 2018. 6,800.00

Billed Time & Expenses	\$6,800.00
Invoice Total	\$6,800.00

Beginning Balance	\$0.00
Invoices	6,800.00
Receipts	0.00
Adjustments	0.00
Service Charges	0.00
Amount Due	\$6,800.00

<u>10/10/2019</u>	<u>09/30/2019</u>	<u>08/31/2019</u>	<u>07/31/2019</u>	<u>06/30/2019+</u>	<u>Total</u>
6,800.00	0.00	0.00	0.00	0.00	\$6,800.00

Please return this portion with payment.

ID: RECMAN
RECEIVERSHIP MANAGEMENT INC

Invoice: 6501
Date: 10/10/2019

Amount Due: \$6,800.00

Amount Enclosed: \$ _____

For your convenience, you may pay online at www.holmesswofford.com. Balances over 30 days will be assessed a service charge of 12%.

BERRY & TUDOR, P.C.*A Professional Corporation*

5123 Virginia Way
Suite B-23
Brentwood, Tennessee 37027-7598

Telephone: (615) 726-1000

Fax: (615) 370-0077

FEIN: 62-1525112

Ms. Jeanne Barnes Bryant
c/o Receivership Management, Inc.
510 Hospital Drive, Suite 490
Madison, TN 37115-5049

October 1, 2019

Client #: 857

RE: Retirement Security Plan & Trust – General Administration

Invoice #: 21439

DATE	DESCRIPTION	HOURS	AMOUNT	
Sep-04-19	Emails from and to R. Moore re: hardship distribution amendment.	0.20	50.00	BET
Sep-13-19	Telephone conference with R. Moore re: tax withholdings on QES and re: missing participants having only QES in accounts.	0.30	75.00	BET
Sep-16-19	Review income tax withholding regulations re: QES distributions; email to R. Moore re: same.	1.00	250.00	BET
Sep-23-19	Email to R. Moore and J. Bryant re: complete hardship withdrawal amendment. (NO CHARGE)	0.25	0.00	BET
TOTAL FEES:		1.75	\$375.00	

TOTAL DISBURSEMENTS:	\$0.00
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TOTAL FEES & DISBURSEMENTS:	\$375.00
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Previous Balance	\$787.50
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Previous Payments	(\$87.50)
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PLEASE PAY:	\$1,075.00
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PAYMENT DUE WITHIN 30 DAYS OF STATEMENT. THANK YOU.
PLEASE MAKE CHECKS PAYABLE TO BERRY & TUDOR, P.C.

cc: Rob Moore via email

10/10/2019 3:05 PM

BILLY SPAULDING INVOICE FOR SEPTEMBER 2019
RSPT PLAN

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DATE	INITIALS	COMPANY	DESCRIPTION	TIME	RATE	CHARGE
9/3/19	BBS	RSPT1	DOWNLOAD TY 2018 PLAN STATEMENT FROM ASPIRE DATABABSE. VERIFY BALANCES AGREE WITH TY 2018 08-14-19 DOWNLOAD TO BE USED TO PREPARE TY 2018 F5500'S.	0.70	120.00	84.00
9/9/19	BBS	RSPT1	DOWNLOAD 12-31-18 PARTICIPANTS BALANCE XTRACT. ADD RSPT CODES. PREPARE REPORT TO BE USED TO ENTER PARTICIPANTS NUMBERS TO F5500.	0.80	120.00	96.00
9/11/19	BBS	RSPT1	ANALYSIS OF EMPLOYEES EMPLOYMENT STATUS AND ASSETS IN ORDER TO IDENTIFY TY F8955-SSA REPORTING REQUIREMENT. COMPLETE F5500 PART II LINE 6 (NUMBER OF EMPLOYEES) FOR EACH EMPLOYER.	4.00	120.00	480.00
9/12/19	BBS	RSPT1	PREPARE BACKUP DOCUMENTATION AND COMPLETE SCHEDULE C FOR F5500. COMPLETE LINE 6 OF F5500 FRO PLAN.	1.60	120.00	192.00
9/13/19	BBS	RSPT1	ANALYSIS OF TY 2018 DISTRIBUTIONS. PREPARE BACKUP DOCUMENTATION AND SCHEDULE TO PROVIDE TO AUDITOR.	1.50	120.00	180.00
9/25/19	BBS	RSPT1	PREPARE AND DISTRIBUTE DOCUMENTATION TO RESPOND TO 09-24-19 AUDITORS LIST OF REQUESTED INFORMATION.	4.00	120.00	480.00
9/30/19	BBS	RSPT1	PREPARE TY 2018 F8955 AND SEND TO PLAN ADMINISTRATOR FOR SIGNING AND MAILING.	1.50	120.00	180.00
				<u>14.10</u>		<u>1,692.00</u>

RMI EXP RECOVERABLE RSPT - Sep 2019

9/1/2019 through 9/30/2019

10/11/2019

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Category	9/1/2019- 9/30/2019	OVERALL TOTAL
5100 FEES RMI		
5300-RECEIVERS FEES	-5,112.00	-5,112.00
5610-CONTRACT LABOR RMI	-6,956.10	-6,956.10
TOTAL 5100 FEES RMI	-12,068.10	-12,068.10
5150 FEES LEGAL		
5400-LEGAL FEES	-375.00	-375.00
TOTAL 5150 FEES LEGAL	-375.00	-375.00
5150 FEES OTHER		
5697-OTHER CONTRACT LABOR	-10,838.00	-10,838.00
TOTAL 5150 FEES OTHER	-10,838.00	-10,838.00
5300 EXPENSE		
6205-COPIES	-190.60	-190.60
6210-POSTAGE	-982.98	-982.98
6215-COURIER & OVERNIGHT	-44.19	-44.19
6222-TELEPHONE LONG DISTANCE	-192.00	-192.00
TOTAL 5300 EXPENSE	-1,409.77	-1,409.77
OVERALL TOTAL	-24,690.87	-24,690.87