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Attorney for the Independent Fiduciary, Jeanne Bryant

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF IDAHO

EUGENE SCALIA, Secretary of the United States
Department of Labor,

Plaintiff,

v.

MATTHEW D. HUTCHESON, HUTCHESON
WALKER ADVISORS LLC, GREEN VALLEY
HOLDINGS LLC, and the RETIREMENT
SECURITY PLAN AND TRUST, f/k/a PENSION
LIQUIDITY PLAN AND TRUST,

Defendants.

Case No.: 1:12-CV-00236-EJL

NOVEMBER 2019 INDEPENDENT FIDUCIARY REPORT

AND FEE NOTICE OF COSTS AND EXPENSES

PURSUANT TO THE Preliminary Injunction entered on June 13, 2012 [Doc. 16], Jeanne B. Bryant, Independent Fiduciary for Retirement Security Plan & Trust (RSPT) submits this Report and Notice for the period beginning November 1, 2019 to November 30, 2019.

I. ACTIVITY REPORT

ADMINISTRATIVE FEE COLLECTIONS

The Independent Fiduciary (“IF”) informed the Sponsoring Employers of the current status of their individual collections involving payment of the monthly administration fee. There will no longer be an Exhibit for collections from any member as the last approved fees have been collected. Most of the work in November involved continuing the process for terminating the Plan. It is anticipated there will be heightened administrative activity for the next several months as a result of the termination process. All plans are required to pay a termination fee of \$500.00.

PLAN TERMINATION ACTIVITIES

The IF received 39 completed Employer Withdrawal from Participation in RSPT forms on or about August 15, 2019. This constitutes the employers who remain in business. Based on these forms, 30 sponsoring employers are terminating their plans and 9 have or are creating successor 401K plans.¹

Since the majority of employers are terminating their 401K plans, nearly 300 participants received a blackout notice and distribution form. The blackout period commenced October 1, 2019. All forms were sent certified mail in compliance with the field assistance bulletin issued by the Plaintiff addressing missing participants.

For those employers creating successor plans, employees who are currently employed will have their retirement accounts transfer to the successor plan. Those employees received notice of the successor plan and the blackout period. Those employees no longer employed by the sponsoring employer received a blackout notice and a distribution form. The IF and staff have been very busy coordinating with successor plans the deconversion and transfer.

¹ For those plans whose employer sponsor failed to submit a Withdrawal From Participation in RSPT form, those plans are being deemed abandoned by the IF and all participants in those plans are receiving a distribution form.

The IF has received, completed and forwarded 199 participant distribution forms to Aspire for processing and distribution. Aspire began the distribution process during the last week of October, 2019.

As the Plan largely consists of participants holding qualified employers securities (QES), a great deal of time is involved in the transfer of these certificates. Regardless, the IF remains committed to the full distribution of the Plan by year's end.

ASSET RECOVERY EFFORTS

All of the Asset Recovery efforts concluded in May, 2019 and these efforts' results, and the decisions on which recovery actions were not taken, are fully discussed in the Notice to Terminate filed in July of 2019. (Docket No. 161)

II. ANTICIPATED FUTURE ACTIVITIES AND RESOLUTION OF ISSUES

As the Plan is currently being terminated, all of the activity will be focused on processing distribution forms and executing the necessary funds transactions to carry forth the directions of the participants. It is anticipated that all plan assets will be distributed by the end of plan year 2019 (12-31-2019). As such, one additional plan audit and one final Form 5500 will be filed as soon as possible. IRS Form 1099 reporting will be done by Aspire Financial for those mutual funds investments; the IF will be handling the IRS Form 1099 reporting for Qualified Employer Securities transfers/rollovers. These compliance and form filings will constitute the last actions required of the Independent Fiduciary.

III. ACCOUNTINGS REGARDING THE RSPT PLANS

As noted in Section 18 of the June 13, 2012 Preliminary Injunction, before causing the RSPT Plans to pay compensation, fees or expenses, the IF is to provide written notice (the "Fee

Notice”) of such compensation, fees or expenses, by filing the Fee Notice (such as set forth herein) with the Court, and by serving a copy of that filing to the Secretary of the U.S. Department of Labor, Employee Benefits Security Administration, Hutcheson and HWA. The IF intends to submit her Fee Notice and Activity Report on a monthly basis. If, within fifteen (15) days after filing of a Fee Notice, no objection to the Fee Notice, nor to payment by the Plan of the compensation, fees or expenses described therein, is filed with the Court, such compensation, fees or expenses shall be deemed reasonable expenses of the RSPT Plans and shall be paid by the RSPT Plans without further action or approval of the Court. The fees and expenses will be allocated as outlined above. In an effort to reduce costs, copies of this Report and Fee Notice are being posted to a website of the IF’s company Receivership Management, Inc. (“RMI”) and the participating employers will be given notice of the filing.

Attached hereto as **Exhibit 1** is a schedule of the hourly rates for the Independent Fiduciary and the hourly rates of any staff of Receivership Management, Inc. that might be used to carry out the terms of the Court’s June 13, 2012 Preliminary Injunction.

Attached hereto as **Collective Exhibit 2** are expense summaries for the period of November 1, 2019 to November 30, 2019.

Total expenses, as listed on **Collective Exhibit 2** include \$2,074.00 in IF fees, \$2,515.00 in legal fees, \$9,086.00 in contract labor and \$593.60 in expenses (which may include ERISA bond payment, court costs and identified charges for other fees, postage, copies, telephone, travel, etc.) for the period of November 1, 2019 to November 30, 2019. Please note that counsel have, as necessary, redacted exact descriptions of litigation issues in order to protect applicable privileges, and the IF may also redact the names of employers and or members as to protect the

companies or the members involved when responding to individual questions if required. The IF believes that the remaining descriptions are sufficient for approval of the charges requested.

In the absence of any objection, total reimbursement for \$2,074.00 in IF fees, \$2,515.00 in legal fees, \$9,086.00 in contract labor and \$593.60 in expenses for administration and litigation will be paid from the Plan's expense reserve in accordance with the Court's June 13, 2012 Preliminary Injunction. The exact amounts and the parties to be paid are listed below:

1.	Jeanne Barnes Bryant	\$ 2,074.00
2.	Berry & Tudor	\$ 2,450.00
3.	Angstman Johnson	\$ 65.00
4.	Receivership Management Inc.	\$ 9,679.60
	\$ 9,086.00 / Contract labor	
	\$ 593.60 / Other expenses	

Pursuant to the Court's June 13, 2012 Preliminary Injunction [Doc. 16], if no objection is filed with the Court within fifteen (15) days after the filing of this Notice and Report, the IF will authorize payments due counsel and any other parties listed.

DATED this 15th day of January, 2020.

/s/ Matt Christensen
MATTHEW T. CHRISTENSEN
Attorney for Jeanne B. Bryant, Independent
Fiduciary for RSPT Plans

CERTIFICATE OF SERVICE

I hereby certify that on this 15th day of January, 2020, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system which sent a Notice of Electronic Filing to the individual(s) so noted below.

Lenny Gerson
Robert Furst

Gerson.Leonard@dol.gov
Furst.Robert@dol.gov

All others as listed on the Court's ECF Notice.

I further certify that on the 15th day of January, 2020, I served a copy of the foregoing notice on the following individuals or entities via US Mail, postage prepaid.

Matthew D. Hutcheson
33 Pinnacle Court
Donnelly, ID 83615

Matthew D. Hutcheson 14620-023
FCI Lompoc
Federal Correctional Institution
3600 Guard Road
Lompoc, CA 93436

Matthew D. Hutcheson
14076 Morell Road
McCall, Idaho 83638

Monty W. Walker
c/o R. Bradford Huss
Trucker Huss, APC
One Embarcadero Center, 12th Floor
San Francisco, CA 94111

DATED: January 15, 2020

/s/ Matt Christensen
Matthew T. Christensen

SUMMARY TIME SHEET
SERVICES PROVIDED FOR RETIREMENT SECURITY PLAN & TRUST
FOR THE PERIOD 11/1/2019 thru 11/30/2019

<u>Jeanne Barnes Bryant</u>		
NOVEMBER 2019 FEES	<u>2,074.00</u>	2,074.00
<u>Receivership Management, Inc.</u>		
NOVEMBER 2019 FEES - ADMIN	9,086.00	
NOVEMBER 2019 RMI EXPENSES	<u>593.60</u>	9,679.60
<u>Berry & Tudor</u>	<u>2,450.00</u>	2,450.00
<u>Angstman Johnson</u>	<u>65.00</u>	<u>65.00</u>
TOTAL FEES		\$ <u><u>14,268.60</u></u>



Receivership Management, Inc.

1101 Kemit Drive, Suite 735 Nashville, TN 37217 (615) 370-0051 Fax (615) 373-4336

Effective April 1, 2016

Fee Schedule for Receivership Management, Inc:

Independent Fiduciary/Receiver	\$170 per hour
Claims processing administration	
ERISA Matters-Employee Benefit	
Plan Administrator-Receiver	
Operations	\$160 per hour
Accounting	\$130 per hour
Accounting Assistant	\$79 per hour
Support Staff	\$61 per hour
Medical Claims Processing Consultant	\$65 per hour
Information Tech Consultant	\$65 per hour

Office Expenses which can be specifically identified to a project (eg. telephone, postage, copies etc.) are charged to the project as incurred.

Travel: Per Diem-\$39.00 for meals and incidentals (first and last day of travel 75% of per diem amount allowed). Per Diem paid only if overnight travel is required. Transportation: By private car: 47 cents per mile; By common carrier: Actual ticket cost at coach.
Lodging: Actual amount charged.



NOVEMBER 2019

JEANNE BARNES BRYANT						
1325 SHEPARD DRIVE						
FRANKLIN, TN 37069						
BILL TO:						
RECEIVERSHIP MANAGEMENT, INC						
510 HOSPITAL DRIVE, STE 490						
MADISON, TN 37115						
CLIENT REFERENCE: RSPT1						
DATE	INITIALS	COMPANY	DESCRIPTION	TIME	RATE	CHARGE
11/1/2019	JBB	RSPT1	UPDATE RE ACCOUNT AND QUESTIONS FROM MEMBERS	0.20	170.00	34.00
11/4/2019	JBB	RSPT1	MULTIPLE EMAILS FROM ROB MOORE AND ASPIRE, QUESTIONS RE QES, CALL WITH ROB MOORE RE SIGNATURES, DISCUSSION RE SAME, DISCUSSION RE COUNSEL CALL	0.60	170.00	102.00
11/5/2019	JBB	RSPT1	EMAILS TO AND FROM REGIONS RE REQUESTS, DISCUSSION WITH ROB MOORE RE SAME, CALL RE COUNSEL CALL	0.60	170.00	102.00
11/6/2019	JBB	RSPT1	EMAILS RE BANK MEETING, QUESTION RE SAME, EMAILS RE ASPIRE	0.30	170.00	51.00
11/7/2019	JBB	RSPT1	EMAILS TO AND FROM COUNSEL, EMAILS RE ASPIRE AND BANK MEETING, EMAIL TO ROB MOORE RE WEBSITE	0.30	170.00	51.00
11/8/2019	JBB	RSPT1	EMAIL FROM COUNSEL RE AMENDMENT, REVIEW AND SIGN SAME, EMAIL FROM ROB MOORE RE AMENDMENT, MEETING AT BANK, DRAFT RE OCTOBER REPORT	2.50	170.00	425.00
11/11/2019	JBB	RSPT1	EMAILS FROM ASPIRE	0.20	170.00	34.00
11/12/2019	JBB	RSPT1	ADDITIONAL EMAILS FROM ASPIRE, QUESTION RE TERMINATION AND AMENDMENT	0.40	170.00	68.00
11/13/2019	JBB	RSPT1	EMAIL FROM COUNSEL RE AMENDMENT, CALL WITH ROB MOORE RE AMENDMENT AND ASPIRE QUESTIONS, REVIEW AND SIGN LETTERS RE SHARES FOR TERMINATION AND TRANSFER, EMAIL RE WEBSITE, QUESTION RE ADDITIONAL BANK SIGNATURES	1.50	170.00	255.00
11/14/2019	JBB	RSPT1	EMAILS RE BANK MEETING, EMAIL RE OCTOBER REPORT, EMAIL RE SHARES	0.30	170.00	51.00
11/15/2019	JBB	RSPT1	MEETING AT BANK, DISCUSSION WITH ROB MOORE RE STATUS UPDATE, EMAIL RE REPORT, QUESTION RE WEBSITE	1.00	170.00	170.00
11/18/2019	JBB	RSPT1	EMAILS TO AND FROM ROB MOORE, EMAILS TO AND FROM REGIONS, EMAILS FROM ASPIRE, EMAIL FROM ROB MOORE RE SCHEDULING IN DECEMBER	0.60	170.00	102.00
11/19/2019	JBB	RSPT1	EMAIL TO ROB MOORE RE SCHEDULING AND WEBSITE, REVIEW AND SIGN LETTERS, EMAIL FROM REGIONS, MEETING RE ADDITIONAL CERTIFICATES, EMAIL TO ROB MOORE RE SAME	1.50	170.00	255.00
11/20/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE OPEN ITEMS, QUESTIONS RE SAME	0.20	170.00	34.00

11/21/2019	JBB	RSPT1	EMAIL FROM ROB MOORE RE CHANGES TO EXHIBITS AND REPORT, REVIEW SAME, EMAIL RE WEBISTE, RESPONSE RE SAME	0.40	170.00	68.00
11/22/2019	JBB	RSPT1	DISCUSSION WITH ROB MOORE RE EMAIL TO GROUP, FILING RE OCTOBER REPORT, UPDATE RE ASPIRE AND STATUS, REVIEW FINAL REPORT, CHANGES RE ASPIRE NUMBERES, EMAIL TO DOL RE SAME	1.00	170.00	170.00
11/25/2019	JBB	RSPT1	EMAILS FROM ASPIRE RE OPEN ITEMS, EMAIL FROM LIZ BREEDING RE SAME, QUESTION RE REPORT	0.30	170.00	51.00
11/26/2019	JBB	RSPT1	QUESTION RE BOND, EMAILS FROM ASPIRE, UPDATE FROM ROB MOORE	0.30	170.00	51.00
		RSPT1 TOTAL		12.20		2,074.00

Receivership Management, Inc.
 510 Hospital Drive, Suite 490
 Madison, TN 37115

Invoice for Professional Services

RETIREMENT SECURITY PLAN & TRUST - ADMIN **November 2019**

Date	Client Name	Description	Hours	Rate	Total
11/1/2019	Elizabeth E. Breeding	CALL FROM P. HILTY RE: DISTRIBUTION FORM NOT RECEIVED, RETRIEVE QES FILES FOR R.M.'S REVIEW; CALL FROM J. COLEMAN RE: UPDATE ADDRESS & TIME REMAINING TO SUBMIT FORM	0.2	\$61.00	\$12.20
11/1/2019	Robert E. Moore, Jr.	QES WORK 4.5; EMAILS TO AN FROM PARTICIPANTS RE: TERMINATIONS QUESTIONS .7; PROCESS TERMINATION FORMS 1.5	6.7	\$160.00	\$1,072.00
11/2/2019	Robert E. Moore, Jr.	QES WORK 4.5	4.5	\$160.00	\$720.00
11/3/2019	Robert E. Moore, Jr.	REVIEW FILE QUESTIONS TO M.WALKER AND B.TUDOR RE: JUST RIGHT PRODUCTS .4	0.4	\$160.00	\$64.00
11/4/2019	Elizabeth E. Breeding	EMAIL CORRESPONDENCE WITH P. LOGAN RE: ESTIMATED DISTRIBUTION COMPLETION TIME; REVIEW EMAIL CORRESPONDENCE BETWEEN R.M. & VARIOUS PARTICIPANTS/ EMPLOYERS RE: QES DISTRIBUTION PROCESSING; PRINT, SCAN & EMAIL S. WILLIAMS' DISTRIBUTION FORM TO R.M. PER REQUEST; VERIFY IF PARTICIPANTS SPECIFIED BY R.M. WERE SENT A DISTRIBUTION FORM OR NOT; EMAILS WITH H. FORTIER RE: DISTRIBUTION STATUS; DRAFT NOTICE TO MONTY WALKER, COPY & TRAVEL TO FEDEX TO DELIVER (3RD DAY) STOCK CERTIFICATES; CALL & EMAIL WITH S. GETZ RE: MAIL NOT RECEIVED; INITIAL PROCESSING OF DISTRIBUTION FORMS (BATCH 10); PRINT USAGE HISTORY & DESIGNATE SEARCHBUG CHARGES FOR OCTOBER	4.1	\$61.00	\$250.10
11/4/2019	Lauren B. Garcia	POST FEE AND EXPENSE ACCRUAL, PREPARE DEPOSIT AND POST TRANSACTION	0.3	\$79.00	\$23.70
11/4/2019	Lauren B. Garcia	TRIP TO BANK TO MAKE DEPOSIT (SPLIT)	0.2	\$61.00	\$12.20

RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

Date	Name	Description	Hours	Rate	Total
11/4/2019	Robert E. Moore, Jr.	TERMINATION WORK ON QES SHARES; CONTINUED REVIEW OF JUST RIGHT PRODUCTS, CONFIRMATION OF COMPANIES NO LONGER IN BUSINESS, 6.7; CALL WITH B.TUDOR RE: JUST RIGHT PRODUCTS, TERMINATION AMENDMENT, COMPLIANCE ISSUES 2.0	8.7	\$160.00	\$1,392.00
11/5/2019	Elizabeth E. Breeding	CONFIRM RECEIPT OF J. ALVIS' DISTRIBUTION FORM PER REQUEST & FWD. INFO TO R.M., EMAIL TO L. PARKER RE: SEND DISTRIBUTION FORM & COVER LETTER WITH REQUEST FOR URGENT RETURN, COPY STOCK CERTIFICATES FOR J & M RV MANAGEMENT FROM J. EMERSON & FWD. ORIGINALS TO R.M., SCAN & SEND VIA SECURE EMAIL (BATCH 10) SIGNED DISTRIBUTION FORMS TO ASPIRE & DATA ENTRY OF DATE SENT; INITIAL PROCESSING OF DISTRIBUTION FORMS	1.4	\$61.00	\$85.40
11/5/2019	Lauren B. Garcia	RECEIPT OF 210 FEES, ACCOUNTING REVIEW OF EMPLOYER FEES RECEIVABLE, EMAIL TO J.LAWSON RE SUCH, PREPARE DEPOSIT AND POST TRANSACTION; REVIEW 2018 RETAINER TRANSACTION AND EDIT DEPOSIT CODE	0.5	\$79.00	\$39.50
11/5/2019	Lauren B. Garcia	TRIP TO BANK TO MAKE DEPOSIT (SPLIT)	0.1	\$61.00	\$6.10
11/5/2019	Lauren B. Garcia	POST FEE AND EXPENSE ACCRUAL	0.1	\$79.00	\$7.90
11/5/2019	Robert E. Moore, Jr.	EMAILS TO AND FROM A.MILLWARD RE: DECONVERSIONS, EMAILS TO AN FROM M.WALKER RE: TRANSITIONS TO ERPA 3.5; PROCESS TERMINATION FORMS 2.1	5.6	\$160.00	\$896.00
11/6/2019	Elizabeth E. Breeding	DRAFT NOTICE TO MARK ISHMAN RE: HOW DO YOU TAKE YOUR COFFEE?, INC STOCK CERTIFICATE, METER CERTIFIED POSTAGE WITH RETURN RECEIPT RESTRICTED; CALL FROM T. CHAMBERS RE: DISTRIBUTION STATUS; CREATE NEW FILE FOLDERS AS NEEDED & FILE NEW DOCUMENTS BY COMPANY; RECORD FEDEX SHIPMENT INFO & FWD. INFO TO R.M.; CALLS AND VOICEMAILS TO MISSING PARTICIPANTS	2	\$61.00	\$122.00
11/6/2019	Jacqui D. Lawson	POST CHECK RECEIVED ON 11.10.19; POST PER COMPANY	0.5	\$79.00	\$39.50
11/6/2019	Lauren B. Garcia	RETURN CHECK TO EMPLOYER WHO OVERPAID	0.1	\$61.00	\$6.10
11/6/2019	Lauren B. Garcia	POST FEE AND EXPENSE ACCRUAL	0.1	\$79.00	\$7.90

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

Date	Name	Description	Hours	Rate	Total
11/6/2019	Lauren B. Garcia	MEETING WITH R.MOORE AND L.BREEDING RE STATUS OF PARTICIPANTS FORMS AND MISSING PARTICIPANTS, CALL FROM PARTICIPANT CHECKING STATUS OF DISTRIBUTION	0.4	\$61.00	\$24.40
11/6/2019	Robert E. Moore, Jr.	CONTINUED QES AND TERMINATIONS WORK, EMAILS RE: SAME	2	\$160.00	\$320.00
11/7/2019	Elizabeth E. Breeding	CALL RE: C. STUBBS' BENEFICIARY DISTRIBUTION FORM; VOICEMAIL FROM L. BONILLA WITH ASPIRE & EMAIL MESSAGE TO R.M.; PREPARE & PRINT PHONE & EMAIL LIST OF MISSING PARTICIPANTS; CALLS & EMAILS TO MISSING PARTICIPANTS; DRAFT EMAIL AND/OR RE-MAIL OF COVER LETTERS AND DISTRIBUTION FORMS TO UPDATED CONTACT INFO OBTAINED FOR PARTICIPANTS: K. ELLIOTT, P. LILLY, G. RAWLINGS, J. JUAREZ; EMAIL TO MISSING PARTICIPANTS REQUESTING UPDATED CONTACT INFO	3.5	\$61.00	\$213.50
11/7/2019	Jacqui D. Lawson	DISCUSSION WITH LGARCIA RE: WEBTIVITY PMT RECEIVED (.20) ACCOUNT OF 2019 PMT RECEIVED RE: RETURN CHECK (.30)	0.5	\$79.00	\$39.50
11/8/2019	Elizabeth E. Breeding	EMAIL CORRESPONDENCE WITH T. HICKS & ASPIRE RE: PARTICIPANT ACCOUNT BALANCE INQUIRY; INITIAL PROCESSING OF DISTRIBUTION FORMS RECEIVED, CALLS & EMAILS TO MISSING PARTICIPANTS; CONTACT THE FOLLOWING PARTICIPANTS & EMAIL AND/OR MAIL COVER LETTER & DISTRIBUTION PACKET TO T. HICKS, E. LALEME; TYPE NOTICES TO PARTICIPANTS RE: MAILING OF STOCK CERTIFICATES	4.5	\$61.00	\$274.50
11/8/2019	Lauren B. Garcia	RECEIPT OF FILED SEPT 2019 REPORT, SAVE AND FILE, TRIP TO PO BOX TO MAIL CERTIFIED LETTERS	0.3	\$61.00	\$18.30
11/8/2019	Robert E. Moore, Jr.	CONTINUED TERMINATIONS WORK 2.5; REVIEW AND RESPOND TO QUESTIONS RE: TERMINATION AMENDMENTS .3	2.8	\$160.00	\$448.00
11/11/2019	Robert E. Moore, Jr.	EMAILS AND CALLS WITH L.BREEDING RE: KELVIE FRIEDEL, TERMINATION MATTERS .3	0.3	\$160.00	\$48.00

Wednesday, December 18, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

11/12/2019	Elizabeth E. Breeding	EMAILS & CALL WITH K. ELLIOTT RE: QUESTIONS ABOUT COMPLETING DISTRIBUTION FORM; REVIEW EMAIL FROM A. MILLWARD RE: S. GETZ DISTRIBUTION FORM EMAILED TO ASPIRE, PRINT & INITIAL PROCESSING OF FORM & FWD. TO R.M./J.B. FOR SIGNATURE; CALL & EMAIL TO G. WYMAN RE: NEED CORRECTION MADE TO DISTRIBUTION FORM; CALL FROM T. HICKS RE: REQUEST FOR ACCOUNT BALANCE & FWD. MSG TO R.M.; RETURN CALL TO B. BARTH RE: SUCCESSOR PLAN STATUS; CALL FROM J. AKIN RE: BALANCE INQUIRY & MSG TO ASPIRE; DRAFT & PRINT NOTICES RE: SHARE CERTIFICATES TO EMPLOYERS OF: SUNRISE PRODUCTS, SKIP D. INC, RENDER GROUP, PARKERSON MANAGEMENT, ONE DAY CLOSER, MCCARVER COMPANY, JOHANNON ENTERPRISES, AGILE INTERACTIVE, ESTEBANIA ENTERPRISES, BEVERWYCK SOUTH & COPY CERTIFICATES RE: SAME; DATA ENTRY OF 2ND RTS MAIL & FWD STATEMENT TO PARTICIPANT R. JONES; CALL TO MISSING PARTICIPANTS; MAIL AND/OR EMAIL DISTRIBUTION PACKET & COVER LETTER TO: J. HOBBS, J. MOORE, E. SANTOS, W. ELLIOTT, G. BYBERG, E. CONLEY, A. SHUSTER, R. RHODES, C. GARZA	7.3	\$61.00	\$445.30
11/13/2019	Elizabeth E. Breeding	CALL FROM P. LILLY & ASSIST WITH COMPLETION OF DISTRIBUTION PACKET; REVIEW RETURN EMAILS FROM ASPIRE RE: PARTICIPANT BALANCE INQUIRY AND RELAY INFO TO MEMBERS RE: SAME; RETURN CALLS FROM PARTICIPANTS RE: RESEND DISTRIBUTION PACKETS TO UPDATED CONTACT INFO; EMAIL OR MAIL RE: SAME TO: G. HENTHORN, C. ROTEN, M. FERANDA, M. HASKIN, S. SHARER, R. MCDANIEL, R. KALVIN, TRAVEL TO FEDEX TO DELIVER CHECK # 2188 (\$9,000.00) TO MATRIX TRUST FROM J&M RV MGMT, INC; ASSEMBLE MAILING OF SHARE CERTIFICATES AND NOTICES CERTIFIED RESTRICTED WITH SIGNATURE TO: T. PARDOE, L. PARKER, S. WILLIAMS, B. HOOVER, R. MCDANIEL, B. ODELL, J. ALVIS, T. CARTER, M. WALKER, J. KOFSKEY, J. JACKSON, M. PIPER, L. D'ORAZIO, K. ELLIOTT, C. HARRELL, D. MCCARVER, L. PARKERSON, J. CONNERS, ARUNA REDDY, AMARENDER REDDY, J. SURO, M. HALLENBECK & S. MCCARVER	4.7	\$61.00	\$286.70
11/13/2019	Lauren B. Garcia	EDIT OCTOBER 2019 DRAFT AND PREPARE OCTOBER REPORT PACKET, SCAN TO FILE AND EMAIL TO R.MOORE ET AL FOR EDITS/REVIEW	0.4	\$61.00	\$24.40
11/13/2019	Lauren B. Garcia	REVIEW DEPOSIT RECORD FOR EMPLOYER	0.1	\$79.00	\$7.90

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

Date	Name	Description	Hours	Rate	Total
11/13/2019	Lauren B. Garcia	PREPARE OCTOBER INVOICING AND FINANCIAL EXHIBITS	0.5	\$79.00	\$39.50
11/13/2019	Lauren B. Garcia	RETURN OVERPAYMENT, METER AND MAIL	0.1	\$61.00	\$6.10
11/14/2019	Elizabeth E. Breeding	CALLS OR EMAILS TO PARTICIPANTS RE: ACCOUNT BALANCE: C. GARZA & R. RHODES; EMAIL TO J.L.L.G. RE: UPDATED ASPIRE FINANCIAL LOGIN CREDENTIALS, DATA ENTRY OF NEW CONTACT INFO OBTAINED VIA MISSING PARTICIPANT SEARCHES, CALL FROM E. OLSEN RE: EMAIL DISTRIBUTION FORM; SEND VIA SECURE EMAIL (BATCH 11) OF DISTRIBUTION FORMS TO ASPIRE	3.5	\$61.00	\$213.50
11/15/2019	Elizabeth E. Breeding	EMAIL CORRESPONDENCE WITH J. BOCKER RE: TIME FRAME FOR SUBMITTING DISTRIBUTION FORM; RETURN CALL FROM S. WOLSTENHOLME, UPDATE EMAIL & SEND DISTRIBUTION; FAX DISTRIBUTION FORM TO M. TOSCANO PER REQUEST, RETURN CALL FROM S. PROFITT, UPDATE CONTACT INFO, EMAIL DISTRIBUTION FORM TO STEVEN AND MAIL TO D. PROFITT; RETURN CALLS & EMAIL WITH G. WYMAN RE: INCOMPLETE DISTRIBUTION FORM; INITIAL PROCESSING OF DISTRIBUTION FORMS (BATCH 12) AND FWD. TO J.B. FOR SIGNATURE; DATA ENTRY OF RESEARCHED UPDATED PARTICIPANT CONTACT INFO	3.4	\$61.00	\$207.40
11/15/2019	Lauren B. Garcia	CALL FROM PARTICIPANT REQUESTING ASPIRE PACKET, REQUEST FORWARDED TO L.BREEDING TO FAX	0.1	\$61.00	\$6.10
11/16/2019	Robert E. Moore, Jr.	EMAILS RE: WISECO TRANSFER TO ERPA .2	0.2	\$160.00	\$32.00

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

Date	Name	Description	Hours	Rate	Total
11/18/2019	Elizabeth E. Breeding	REVIEW AND RESPONSE EMAIL WITH R.M. RE: WISECO SHARE CERT SENT TO M. WALKER ON 11-13-19; CALL FROM D. BOEHM RE: HIS AND DEBRA'S CONTACT INFO, EXPECTED RETURN DISTRIBUTION FORM THIS WEEK & BALANCE INQUIRY, CHECK ASPIRE FOR BOTH BALANCES & RETURN CALL; CALL FROM S. MADDOX RE: UPDATE CONTACT INFO & MAILED DISTRIBUTION FORM OF 11/22/19; CALL FROM FINANCIAL REPRESENTATIVE, ALAN RE: STATUS OF J. YODER DISTRIBUTION; EMAIL FEDEX TRACKING INFO FOR MATRIX TRUST CHECK/ J&M RV MGMT PER REQUEST; CALL FROM C. WAITT RE HIS AND HIS WIFE, PAULA'S DISTRIBUTION FORMS; CALL FROM B. DERR RE: DISTRIBUTION STATUS; CALL FROM K. FRIEDEL RE: SUCCESSOR STATUS; DRAFT NOTICE TO ALLEN HOWARD OF ICICB, INC RE: SHARE CERTIFICATE & PREPARE CERTIFIED RESTRICTED DELIVERY; SCAN AND SEND BATCH 12 OF DISTRIBUTIONS FORMS VIA SECURE EMAIL TO ASPIRE; CALL TO T. PARDOE RE: INCOMPLETE FORM; EMAIL TO R.M. RE: UPDATED PARTICIPANT FORMS RTS UNDER \$500 LIST	3.6	\$61.00	\$219.60
11/18/2019	Lauren B. Garcia	TWO CALL REQUESTING ASSISTANCE COMPLETING FORM, FORWARD MESSAGE TO L.BREEDING TO ASSIST	0.1	\$61.00	\$6.10
11/18/2019	Robert E. Moore, Jr.	EMAILS TO A.MILLWARD RE: WISECO AND OTHER TERMINATION MATTERS .2; RESPOND TO T.J. CARTER RE: COMPUNET .2; INSTRUCTIONS TO L.BREEDING RE: RETURN TO SENDER DISTRIBUTION PACKAGES .10	0.5	\$160.00	\$80.00
11/19/2019	Elizabeth E. Breeding	EMAIL CORRESPONDENCE WITH T. PARDOE RE: COMPLETE DISTRIBUTION PACKET; CALL & EMAIL TO W. BENNETTE RE: MISSING ROLLOVER INSTITUTION INFO ON FORM, ASSIST C. HUDSON WITH BALANCE INQUIRY; INITIAL PROCESSING OF R. ROGERS' DISTRIBUTION FORM C/O BENEFICIARY M. ROGERS' & FWD TO J.B. FOR SIGNATURE; EMAIL, DRAFT NOTICE & METER POSTAGE TO M. ROGERS RE: NEED SIGNED W9 & DISTRIBUTION FORM NOTARIZED; FILE COPIES OF SHARE CERTIFICATES MAILED THUS FAR; RESPOND TO PARTICIPANT BALANCE INQUIRIES AND DISTRIBUTION STATUS QUESTIONS; DATA ENTRY OF PARTICIPANT DISTRIBUTION INFORMATION	3.7	\$61.00	\$225.70

Wednesday, December 18, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

11/20/2019	Elizabeth E. Breeding	FOLLOW-UP CALL TO M. ROGERS RE: INCOMPLETE FORM & MISSING BENEFICIARY DOCUMENTS; CORRESPONDENCE, SCAN NOTICE, CHECK #2188 COPY & EMAIL TO ASPIRE RE: MATRIX TRUST CHECK FROM J&M RV MGMT SHIPPED ADDRESS; CALL & EMAIL TO P. LILLY RE: INCOMPLETE DISTRIBUTION FORM; DRAFT NOTICE TO M. WALKER RE: ENCLOSED SHARE CERTIFICATES FOR A. MUELLER (EXCELLENCE IN GIVING) & KELVIN FRIEDEL (RSVP PARTY RENTALS), COPY CERTIFICATE & ASSEMBLE CERTIFIED SIGNATURE RESTRICTED MAIL; METER POSTAGE RE: SAME & ALSO TO A. HOWARD (TCTCB, INC); CALL FROM R. DELGADO RE: DISTRIBUTION STATUS; SCAN & SEND BATCH 13 OF DISTRIBUTIONS FORMS TO ASPIRE VIA SECURE EMAIL	3.7	\$61.00	\$225.70				
11/20/2019	Jacqui D. Lawson	APPROVAL OF SEPTEMBER FEES & EXPENSES; TRANSFER & POST; APPROVAL OF CONTRACT LABOR	0.5	\$79.00	\$39.50				
11/20/2019	Lauren B. Garcia	METER AND MAIL PAYMENTS, FILE BACKUP, TRIP TO PO BOX TO MAIL CERTIFIED MAIL	0.4	\$61.00	\$24.40				
11/20/2019	Lauren B. Garcia	RECEIPT OF PAYMENT APPROVALS - ISSUE TWO PAYMENTS	0.2	\$79.00	\$15.80				
11/21/2019	Elizabeth E. Breeding	CALL FROM T. HICKS RE: ZERO ACCT BALANCE, DATA ENTRY AND PROCESSING OF DISTRIBUTION FORMS	1.9	\$61.00	\$115.90				
11/21/2019	Robert E. Moore, Jr.	ACTIVITY REPORT, EMAIL FOR POSTING, UPDATES TO J.BRYANT .2	0.2	\$160.00	\$32.00				
11/22/2019	Elizabeth E. Breeding	RESPONSE EMAIL TO R.M. RE: K. COYNE'S DISTRIBUTION STATUS; REPORT COUNT OF DISTRIBUTION FORMS SENT TO ASPIRE THROUGH 10-31-19 & RESPONSE EMAIL; DATA ENTRY OF DISTRIBUTION INFORMATION; CALL FROM R. RHODES RE: ACCOUNT BALANCE & DISTRIBUTION STATUS; PROCESS DISTRIBUTION AND FWD. TO J.B. FOR SIGNATURE; CALL TO J. BOCKER RE: LAST 4 OF SSN, UPDATE SSN IN DATABASE & EMAIL TO ASPIRE RE: SAME; PROCESS, SCAN & EMAIL BATCH 14 OF DISTRIBUTION FORMS TO ASPIRE; EMAIL WITH ASPIRE RE: PARTICIPANT NAME CHANGE VIA MARRIAGE FROM A. GONZALEZ TO LANTZY; DATA ENTRY OF DATE BATCH 14 SENT TO ASPIRE	2.8	\$61.00	\$170.80				
11/22/2019	Jacqui D. Lawson	STATUS UPDATE WITH JEANNE BRYANT; RE: BANK ACCOUNT AND CURRENT LIABILITIES	0.3	\$79.00	\$23.70				

Wednesday, December 18, 2019

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RETIREMENT SECURITY PLAN & TRUST - ADMIN**November 2019**

Date	Name	Description	Hours	Rate	Total
11/25/2019	Elizabeth E. Breeding	CALL TO R. RHODES RE: FAXED DISTRIBUTION FORM, REQUEST FOR SIGNED ORIGINAL & FAX TO ALLSTATE RE: SAME; CALL FROM P. LILLY RE: INCOMPLETE STEP 5 OF DISTRIBUTION FORM, REVIEW EMAIL & PRINT MISSING PAGE; CALL FROM S. WOLSTENHOLME RE: DISTRIBUTION FORM QUESTIONS; PROCESS PARTICIPANT DISTRIBUTION FORMS AND FWD. TO J.B. FOR SIGNATURE; EMAIL TO ASPIRE RE: CONFIRM RECEIPT OF MATRIX CHECK RE: J&M RV MGMT	2.5	\$61.00	\$152.50
11/25/2019	Lauren B. Garcia	POST FEE AND EXPENSE ACCRUAL	0.1	\$79.00	\$7.90
11/25/2019	Robert E. Moore, Jr.	TERMINATION STATUS UPDATE, REMAINING PROJECT DEADLINES .2	0.2	\$160.00	\$32.00
11/26/2019	Elizabeth E. Breeding	CALL FROM P. HILTY RE: DISTRIBUTION STATUS, PRINT, SCAN FORM & EMAIL HIS REQUEST TO EXPEDITE PROCESSING TO ASPIRE; PROCESS DISTRIBUTION FORM FOR C. MILLER, UPDATE CONTACT INFO & FWD. TO J.B. FOR SIGNATURE; SCAN, SEND VIA SECURE EMAIL TO ASPIRE & DATA ENTRY RE: DISTRIBUTION FORMS BATCH 15	1.8	\$61.00	\$109.80
11/26/2019	Robert E. Moore, Jr.	DISTRIBUTIONS PROCESSING QUESTIONS .10	0.1	\$160.00	\$16.00
11/27/2019	Elizabeth E. Breeding	STAFF MEETING RE: STATUS UPDATE & OVERVIEW OF REQUIREMENTS REMAINING TO FINALIZE TERMINATION BY YEAR END; CALL FROM G. WYMAN RE: DISTRIBUTION STATUS, FWD. A. MUELLER DISTRIBUTION FORM FOR R.M. PER REQUEST, COPY NOTICE & (2) SHARE CERTIFICATES FOR J&M RV MGMT, SCAN, SAVE, ASSEMBLE & METER CERTIFIED RESTRICTED SIGNATURE POSTAGE & TRAVEL TO POST OFFICE TO DELIVER; SEND BATCH 16 OF DISTRIBUTION FORMS TO ASPIRE VIA SECURE EMAIL	2.6	\$61.00	\$158.60
11/27/2019	Lauren B. Garcia	STAFF MEETING RE STATUS OF PLAN, REPLY TO EMAIL FROM J.BRYANT REQUESTING OCT REPORT DRAFT	0.3	\$61.00	\$18.30
Total					\$9,086.00

Wednesday, December 18, 2019

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BERRY & TUDOR, P.C.*A Professional Corporation*

5123 Virginia Way
 Suite B-23
 Brentwood, Tennessee 37027-7598

Telephone: (615) 726-1000

Fax: (615) 370-0077

FEIN: 62-1525112

Ms. Jeanne Barnes Bryant
 c/o Receivership Management, Inc.
 510 Hospital Drive, Suite 490
 Madison, TN 37115-5049

December 2, 2019

Client #: 857

RE: Retirement Security Plan & Trust – General Administration

Invoice #: 21489

DATE	DESCRIPTION	HOURS	AMOUNT	
Nov-04-19	Emails from and to R. Moore re: QES held in missing participants' RSPT accounts; review PBGC regulations re: missing participant program and Tennessee unclaimed property statutes.	1.75	437.50	BET
Nov-04-19	Emails from and to R. Moore re: Just Right Products, Inc. QES.	0.25	62.50	BET
Nov-04-19	Telephone conference with R. Moore re: 1099-R reporting of QES distributions; RSPT plan document language on plan termination; FAB 2014-1 and its application to RSPT through plan amendment; escheat of missing participant balances; and re: Just Right Products.	1.90	475.00	BET
Nov-06-19	Prepare amendment re: lump sum payments/default IRS rollovers at plan termination; email from R. Moore re: signed Hardship Withdrawal Amendment.	0.40	100.00	BET
Nov-07-19	Review current plan document and addenda re: provisions on distributions/rollovers at plan termination; review FAB 2014-1; prepare plan termination distributions amendment (continued).	3.50	875.00	BET
Nov-08-19	Prepare draft Termination Distribution Amendment (continued); email to R. Moore and J. Bryant re: same.	0.30	75.00	BET
Nov-11-19	Emails from and to R. Moore re: revisions to plan termination distribution amendment.	0.20	50.00	BET

Invoice 21489

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December 2, 2019

Nov-12-19	Emails from and to R. Moore re: termination distribution amendment.	0.20	50.00	BET
Nov-13-19	Telephone conference with R. Moore re: RSPT termination process.	0.60	150.00	BET
Nov-13-19	Revise termination distribution amendment; email to R. Moore re: same.	0.70	175.00	BET

TOTAL FEES:		9.80	\$2,450.00	
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TOTAL DISBURSEMENTS:				\$0.00
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TOTAL FEES & DISBURSEMENTS:				\$2,450.00
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Previous Balance				\$1,125.00
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Previous Payments				(\$1,075.00)
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PLEASE PAY:				\$2,500.00
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**PAYMENT DUE WITHIN 30 DAYS OF STATEMENT. THANK YOU.
PLEASE MAKE CHECKS PAYABLE TO BERRY & TUDOR, P.C.**

cc: Rob Moore via email

ANGSTMAN JOHNSON

199 N. Capitol Blvd., Suite 200
 Boise, ID 83702
 Phone No.: (208) 384-8588
 Fax: (208) 629-2157
 Federal Tax ID: 52-2300434
 Statement as of: 10/31/2019
 Statement No: 80705

Receiver Management, Inc.
Jeanne Bryant
 510 Hospital Dr., Ste 490
 Madison, TN 37115

8571-002: Perez v. Hutcheson, Case No. 1:12-CV-00236-EJL
 Bryant, Jeanne

Professional Fees			Hours	Rate	Amount
10/14/2019	MTC	Finalize and file August report.	0.20	325.00	65.00
Sub-total Fees:					65.00

Rate Summary

Matthew T. Christensen	0.20 hours at \$325.00/hr	65.00
Total hours:	0.20	

Total Current Billing:	\$65.00
Previous Balance Due:	\$33.29
Total Payments:	\$0.00
Total Now Due:	\$98.29

ANGSTMAN JOHNSON
 199 N. Capitol Blvd., Suite 200
 Boise, ID 83702
 Phone No.: (208) 384-8588

Receiver Management, Inc.
 Jeanne Bryant
 510 Hospital Dr., Ste 490
 Madison, TN 37115

Statement as of: 11/07/2019
 Statement No: 80705
 Matter ID: 8571-002

Previous Statement Balance	33.29
Current Billing Activity	
Interest/Tax	0.00
Professional Fees	65.00
Retainer Replenish:	0.00
Disbursements and Other Costs Incurred	0.00
Total Current Billing	65.00
Payments and Credits Since Last Statement:	0.00
Balance Due:	\$98.29



Payment Type: Check/Money Order
 Credit Card (Complete Authorization)

Amount enclosed: \$ _____

Remit to: ANGSTMAN JOHNSON
 199 N. Capitol Blvd., Suite 200
 Boise, ID 83702

Please return this page with your payment.

Credit Card Authorization

Visa MasterCard Discover American Express

Card Number: _____

CVV # _____

Expiration Date ____/____/____

Amount _____

Card Holder Name _____

Card Holder Address _____

Card Holder Signature _____

RMI EXP RECOVERABLE RSPT - Nov 2019
 11/1/2019 through 11/30/2019

12/18/2019

Category	11/1/2019- 11/30/2019	OVERALL TOTAL
5100 FEES RMI		
5300-RECEIVERS FEES	-5,152.00	-5,152.00
5610-CONTRACT LABOR RMI	-3,934.00	-3,934.00
TOTAL 5100 FEES RMI	-9,086.00	-9,086.00
5150 FEES LEGAL		
5400-LEGAL FEES	-2,515.00	-2,515.00
TOTAL 5150 FEES LEGAL	-2,515.00	-2,515.00
5150 FEES OTHER		
5697-OTHER CONTRACT LABOR	-2,074.00	-2,074.00
TOTAL 5150 FEES OTHER	-2,074.00	-2,074.00
5300 EXPENSE		
6205-COPIES	-34.80	-34.80
6210-POSTAGE	-204.75	-204.75
6215-COURIER & OVERNIGHT	-60.25	-60.25
6222-TELEPHONE LONG DISTANCE	-293.80	-293.80
TOTAL 5300 EXPENSE	-593.60	-593.60
OVERALL TOTAL	-14,268.60	-14,268.60